

PA SUPPLEMENT TO RUS BULLETIN 1780-26 (DATED JUNE 16, 2020)
FOR
PROJECTS SUBJECT TO AMERICAN IRON AND STEEL REQUIREMENTS

“Guidance for the Use of Engineers Joint Contract Documents Committee (EJCDC) Bidding and Contract Documents on Water and Waste Disposal Projects with Rural Utilities Service Financial Assistance”

For Use with the 2018 version of the EJCDC Construction Series Contract Documents and the 2014 version of the EJCDC Agreement Between Owner and Engineer for Professional Services

Assembling and Submitting the Construction Contract and Bidding Documents

**Note: EJCDC E-500 Agreement Between Owner and Engineer for Professional Services should be completed prior to performing any of the below work. The PA Supplement includes recommended modifications to the EJCDC E-500.

Contents	Form
Specifications Signed/Sealed	
Engineer's Certification of Final Plans and Specifications Certification of Compliance with AIS Certification Compliance with ADA Requirements	COMBINED CERTIFICATION FORM - Exhibit A, Attachment 6, RUS Bulletin 1780-26
Table of Contents	Prepared by Consultant
Advertisement for Bids	EJCDC C-111 (2018) as modified by RUS Bulletin 1780-26 (2020) Exhibit B, Attachment 2
Instructions to Bidders	EJCDC C-200 (2018) as modified by RUS Bulletin 1780-26 (2020) Exhibit B, Attachment 3
Bid Form	EJCDC C-410 (2018) as modified by RUS Bulletin 1780-26 (2020) Exhibit B, Attachment 4
Bid Bond (Penal Form)	EJCDC C-430 (2018)
Statement of Contractor's Qualifications	EJCDC C-451 (Optional Form)
Compliance Statement	Form RD 400-6 (Rev. 8-22)
Certification Regarding Debarment	Form AD-1048 (Rev. 12/22)
Certification for Contracts, Grants & Loans	RD Instruction 1940-Q, Exhibit A-1 (8/21/91)
Disclosure of Lobbying Activities	SF LLL (Rev. 7-97)
PA Public Works Employment Verification Form	Commonwealth of PA Form
Notice of Award	EJCDC No. C-510 (2018) - State Engineer concurrence required prior to issuing NOA.
Agreement Between Owner and Contractor - Stipulated Price	EJCDC No. C-520 (2018) as modified by RUS Bulletin 1780-26 (2020) Exhibit B, Attachment 5
Certificate of Owner's Attorney / RUS Concurrence	RUS Bulletin 1780-26 (Exhibit A, Attachment 1)
Performance Bond	EJCDC No. C-610 (2018) - Must be at least 100% of bid amount
Payment Bond	EJCDC No. C-615 (2018) - Must be at least 100% of bid amount
Standard General Conditions	EJCDC No. C-700 (2018)
Supplementary General Conditions	EJCDC C-800 (2018) as modified by RUS Bulletin 1780-26 (2020) Exhibit B, Attachment 6
Special Conditions	Prepared by Consultant
General (Prime) Contractor's Certification of Compliance	Exhibit A, Attachment 4, RUS Bulletin 1780-26 (2020)
Manufacturer's Certification Letter of Compliance	Exhibit A, Attachment 5, RUS Bulletin 1780-26 (2020)
AIS Project Specific Waiver, IF APPLICABLE	
Pennsylvania Prevailing Wage Rates	PA Prevailing Wage Rates
OR Federal Wage Rates	Davis Bacon Wage Rates - Only required if other funding source requires (Such as ARC or CDBG)
RD Instruction 1940-C (IF Davis Bacon)	USDA - Rural Development PA version - Only required if Federal Wage Rates have been included
Project Sign	Attached to this Supplement

Notice to Proceed	EJCDC No. C-550 (2018)
Contractor's Application for Payment	EJCDC No. C-620 (2018)
Contract Change Order -	EJCDC No. C-941 (2018)
Certificate of Substantial Completion	EJCDC No. C-625 (2018)
Notice of Acceptability of Work	EJCDC No. C-626 (2018)
Seismic Certification	RD Seismic Certification (Required if construction of Buildings, Tanks, etc.)
Plan Sheets	Engineer Submittal
Updated Cost Estimate	

PENNSYLVANIA SUPPLEMENT TO RUS BULLETIN 1780-26 (Dated 6/16/2020)

CONSTRUCTION EDITS:

ADVERTISEMENT FOR BIDS

Suggest adding language: *This Contract is expected to be funded in part with funds provided by the United States Department of Agriculture, Rural Utilities Service (RUS).*

A pre-bid conference for the Project will be held on [DAY, TIME] at [NAME OF VENUE] [STREET ADDRESSE, CITY, STATE]. Attendance at the Pre-Bid conference is encouraged but not required.

INSTRUCTIONS TO BIDDERS

Article 4.01 – Modify paragraph to state: “Attendance at Pre-bid meetings is encouraged but not mandatory.” Delete any sentences or paragraphs in this Article that are contrary to this instruction.

Article 5.02B – Insert the following text at the end of the paragraph: “Call Before You Dig! Pennsylvania State law requires a three (3) business day notice (does not include state holidays or weekends), but not more than ten (10) business days prior to the start of excavation and 10 business day notice, but not more than 90 business days in Design Stage. Call 8-1-1 or 1-800-242-1776, PA One Call Serial No. _____. The Designer has obtained this Serial Number as required by PA Act 287, as amended.”

Article 8.03 – Delete “61 days” and replace with “**91** days”.

Article 8.05 – Insert the following paragraph immediately after Article 8.04: “8.05 In case the bonds, Agreement, or certificates of insurance submitted by the Bidder do not meet the requirements of the Contract Documents, and changes are to be made before these documents can be accepted by the Owner and Rural Development, the Bidder is obligated to accept an extension of the date of award of the Contract, or the date of issuance of Notice to Proceed, as the case may be, for that period of additional time required to furnish acceptable documents.”

Article 15.03 – Delete “24 hours” and replace with “two (2) business days”.

Article 20.02 – Insert the following paragraph immediately after Article 20.01: “20.02 This Contract is expected to be funded in part with funds provided by the United

States Department of Agriculture, Rural Utilities Service (RUS). Refer to Supplementary General Conditions for Federal Requirements.”

Add Article 20.03 – Insert the following paragraph immediately after Article 20.02: “20.03 Concurrence by RUS in the award of the Contract is required before the Contract is effective.”

BID FORM

Article 2.01 – Add the following after 2.01.l:

- J. Pennsylvania E-Verification Form.
- K. *Bid Form for Construction Contracts (Guidance Note: Only applicable if using PennBid or electronic bidding where electronic bid entry is required. If so, a copy of the Bid Form (C-410) must be signed by an Authorized signatory of the Bidder, scanned to pdf, and uploaded to the Penn Bid or other electronic bidding site along with all supporting documentation).*

Article 7.01 – Delete Article 7.01.A and replace with the following language:

“A. Bid will remain subject to acceptance for sixty (60) days after the Bid opening, except if the award is delayed by a required approval of another government agency (including RUS), the sale of bonds, or the award of a grant, the Owner shall reject all bids or award the contract to the lowest responsible and responsive bidder within ninety (90) days of the bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.”

ENGINEER’S DEVELOPMENT OF SUPPLEMENTARY CONDITIONS

SC-6.02.O – Add the following sentence immediately after 6.02.N of the General Conditions:

“O. Insurance Coverage will be Per Project.”

SC-8.02.A.4. – Add an additional paragraph after 8.02.A.3. of the General Conditions reading as follows:

“4. If applicable laws and regulations require inspection of the work by the Pennsylvania Department of Transportation, Pennsylvania Turnpike Commission, or similar public agency, the CONTRACTOR shall be responsible for coordinating the performance of the Work with inspectors employed by or for the public agency. The expense of these inspections shall be borne by the CONTRACTOR. In the event the public agency requires payment for this inspection from the OWNER, the OWNER shall deduct the amount due and payable for such services from compensation otherwise due the CONTRACTOR. It is expressly understood, by and between the CONTRACTOR and the OWNER, that the cost for such public agency inspection has been included within the price bid for such portions of the Work as are affected by this public agency inspection.”

RUS FORMS: This PA SUPPLEMENT contains required RUS Agency forms that are required to be included in the Contract Documents:

- a. Compliance Statement (Form RD 400-6)
- b. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions (Form AD-1048)
- c. Certification for Contracts, Grants, and Loans (RD Instruction 1940-Q, Exhibit A-1)
- d. Disclosure of Lobbying Activities Form (Standard Form SF – LLL)
- e. Seismic Certification
- f. Temporary Construction Sign

PENNSYLVANIA SUPPLEMENT TO RUS BULLETIN 1780-26 (Dated 6/16/2020)

OWNER-ENGINEER AGREEMENT EDITS:

EXHIBIT A (Engineer's Services)

Article A1.03.A – Add “and Agency” following “After acceptance by Owner” in first sentence.

Article A1.04.A.10 – Insert sentence at end of Paragraph: “Prior Agency approval is required for any procurement by non-competitive proposal or sole-source”.

Article A1.04.A.11 – Insert sentence at end of Paragraph: “Prior Agency approval is required for any procurement by non-competitive proposal or sole-source”.

Article A1.05.A.25a – Insert sentence at end of Paragraph: “Provide a list of manufacturers of American Iron and Steel products used in the project and include manufacturer's name and location, and product(s) to the Agency.”

EXHIBIT C (Compensation Packets)

Compensation Packet AS-1 (Additional Services)

Recommendation: Engineer should provide a list and amount of anticipated Additional Services with Owner-Engineer Agreement, as an attachment, if applicable.

EXHIBIT H (Dispute Resolution)

Recommendation: Statement from Owner verifying their concurrence in chosen method of dispute resolution should be provided.

EXHIBIT J (Special Provisions)

Recommendation: Previous project related Agreement(s), can and should be referenced in this section, along with a breakdown of any compensation received should the Owner be requesting reimbursement for project related compensation paid to the Engineer prior to execution of the Agreement. A statement should be included stating any previous agreement(s) is(are) superseded by this Agreement.

COMPLIANCE STATEMENT

This statement relates to a proposed contract with _____

(Name of borrower or grantee)

who expects to finance the contract with assistance from either the Rural Housing Service (RHS), Rural Business-Cooperative Service (RBS), or the Rural Utilities Service (RUS) or their successor agencies, United States Department of Agriculture (whether by a loan, grant, loan insurance, guarantee, or other form of financial assistance). I am the undersigned bidder or prospective contractor, I represent that:

1. I have have not, participated in a previous contract or subcontract subject to Executive Order 11246 (regarding equal employment opportunity) or a preceding similar Executive Order.
2. If I have participated in such a contract or subcontract, I have, have not, filed all compliance reports that have been required to file in connection with the contract or subcontract.
 If the proposed contract is for \$50,000 or more: or if the proposed nonconstruction contract is for \$50,000 or more and I have 50 or more employees, I also represent that:
3. I have, have not previously had contracts subject to the written affirmative action programs requirements of the Secretary of Labor.
4. If I have participated in such a contract or subcontract, I have, have not developed and placed on file at each establishment affirmative action programs as required by the rules and regulations of the Secretary of Labor.

I understand that if I have failed to file any compliance reports that have been required of me, I am not eligible and will not be eligible to have my bid considered or to enter into the proposed contract unless and until I make an arrangement regarding such reports that is satisfactory to either the RHS, RBS or RUS, or to the office where the reports are required to be filed.

I also certify that I do not maintain or provide for my employees any segregated facilities at any of my establishments, and that I do not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I certify further that I will not maintain or provide for my employees any segregated facilities at any of my establishments, and that I will not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I agree that a breach of this certification is a violation of the Equal Opportunity clause in my contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and wash rooms, restaurants and other eating areas time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. I further agree that (except where I have obtained identical certifications for proposed subcontractors for specific time periods) I will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause; that I will retain such certifications in my files; and that I will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):

A federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The OMB Control Number for this information collection is 0575-0201. Public reporting for this collection of information is estimated to be approximately 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. All responses to this collection of information are voluntary. However, in order to obtain or retain a benefit, the information in this form is required 7 CFR 1901-E. Rural Development has no plans to publish information collected under the provisions of this program. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Information Collection Clearance Officer, Rural Development Innovation Center, Regulations Management Division at ICRMTRequests@usda.gov.

**NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR
CERTIFICATIONS OF NON-SEGREGATED FACILITIES**

A certification of Nonsegregated Facilities, as required by the May 9, 1967, order (32F.R. 7439, May 19, 1967) on Elimination of Segregated Facilities, by the Secretary of Labor, must be submitted prior to the award of a subcontract exceeding \$ 10,000 which is not exempt from the provisions of the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

Date _____

(Signature of Bidder or Prospective Contractor)

Address (including Zip Code)



**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
 Lower Tier Covered Transactions**

The following statement is made in accordance with the Privacy Act of 1974 (5 U.S.C. § 552a, as amended). This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, and 2 CFR §§ 180.300, 180.335, Participants' responsibilities. The regulations were amended and published on August 31, 2005, in 70 Fed. Reg. 51865-51880. Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the proposed covered transaction.

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0505-0027. The time required to complete this information collection is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The provisions of appropriate criminal or civil fraud, privacy, and other statutes may be applicable to the information provided.

(Read instructions on page two before completing certification.)

- A. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- B. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

ORGANIZATION NAME	PR/AWARD NUMBER OR PROJECT NAME
NAME(S) AND TITLE(S) OF AUTHORIZED REPRESENTATIVE(S)	
SIGNATURE	DATE

In accordance with Federal civil rights law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs are prohibited from discriminating based on race, color, national origin, religion, sex, gender identity (including gender expression), sexual orientation, disability, age, marital status, family/parental status, income derived from a public assistance program, political beliefs, or reprisal or retaliation for prior civil rights activity, in any program or activity conducted or funded by USDA (not all bases apply to all programs). Remedies and complaint filing deadlines vary by program or incident.

Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotope, American Sign Language, etc.) should contact the responsible Agency or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

To file a program discrimination complaint, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at [How to File a Program Discrimination Complaint](#) and at any USDA office or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by: (1) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (2) fax: (202) 690-7442; or (3) email: program.intake@usda.gov.

USDA is an equal opportunity provider, employer, and lender.

Instructions for Certification

- (1) By signing and submitting this form, the prospective lower tier participant is providing the certification set out on page 1 in accordance with these instructions.
- (2) The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies, including suspension or debarment.
- (3) The prospective lower tier participant must provide immediate written notice to the person(s) to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (4) The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549, at 2 CFR Parts 180 and 417. You may contact the Department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- (5) The prospective lower tier participant agrees by submitting this form that, should the proposed covered transaction be entered into, it may not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the Department or agency with which this transaction originated.
- (6) The prospective lower tier participant further agrees by submitting this form that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- (7) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the General Services Administration's System for Award Management Exclusions database.
- (8) Nothing contained in the foregoing shall be construed to require establishment of a system of records to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (9) Except for transactions authorized under paragraph (5) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the Department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

CERTIFICATION FOR CONTRACTS, GRANTS AND LOANS

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant or Federal loan, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant or loan.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant or loan, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including contracts, subcontracts, and subgrants under grants and loans) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(name)

(date)

(title)

oOo

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known:	5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known:	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable: _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Entity (if individual, last name, first name, MI): <div style="text-align: right;"><i>(attach Continuation Sheet(s) SF-LLLA, if necessary)</i></div>	b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): <div style="text-align: right;"><i>(attach Continuation Sheet(s) SF-LLLA, if necessary)</i></div>	
11. Amount of Payment (check all that apply): \$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned	13. Type of Payment (check all that apply): <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify: _____	
12. Form of Payment (check all that apply): <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature _____ value _____	14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11: <div style="text-align: right;"><i>(attach Continuation Sheet(s) SF-LLLA, if necessary)</i></div>	
15. Continuation Sheet(s) SF-LLLA attached: <input type="checkbox"/> Yes <input type="checkbox"/> No		
16. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
Federal Use Only:	Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)	

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLLA Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLLA Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

SEISMIC DESIGN & ACKNOWLEDGEMENT POLICY & REGULATIONS

Water and Waste Disposal program design policies to address seismic safety are included at 7 CFR 1780.57(o) and 7 CFR 1792, Subpart C. Subpart C, "Seismic Safety of Federally Assisted New Building Construction" includes requirements applicable to the design of any building, defined as a structure fully or partially enclosed and intended for sheltering persons or property.

Design engineers and architects must ensure that all new buildings are designed in compliance with the International Code Council's "International Building Code," the American Society of Civil Engineers' "Minimum Design Loads for Buildings and Other Structures," or other standard providing a level of safety substantially equivalent to the level provided by the National Earthquake Hazards Reduction Program, "Recommended Provisions for the Development of Seismic Regulation for New Buildings."

1792 Subpart C requires that the design engineer place an acknowledgement on the plan cover sheet submitted for Rural Development concurrence indicating the identification and date of the model code or standard that was used in the seismic design of the building project. The plans and specifications must also be dated, signed, and sealed by the registered architect or engineer; however, there is no requirement for completion of a certification form for WWD.

SAMPLE ACKNOWLEDGEMENT FOR DRAWING COVER SHEET

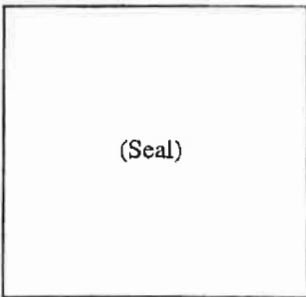
The undersigned hereby acknowledges that the project structures and buildings have been designed in accordance with the following model building code or standard and complies with the requirements of 7 CFR Part 1792, §1792.103:

_____ [Identification and Date of Model Code or Standard]

_____ Signature

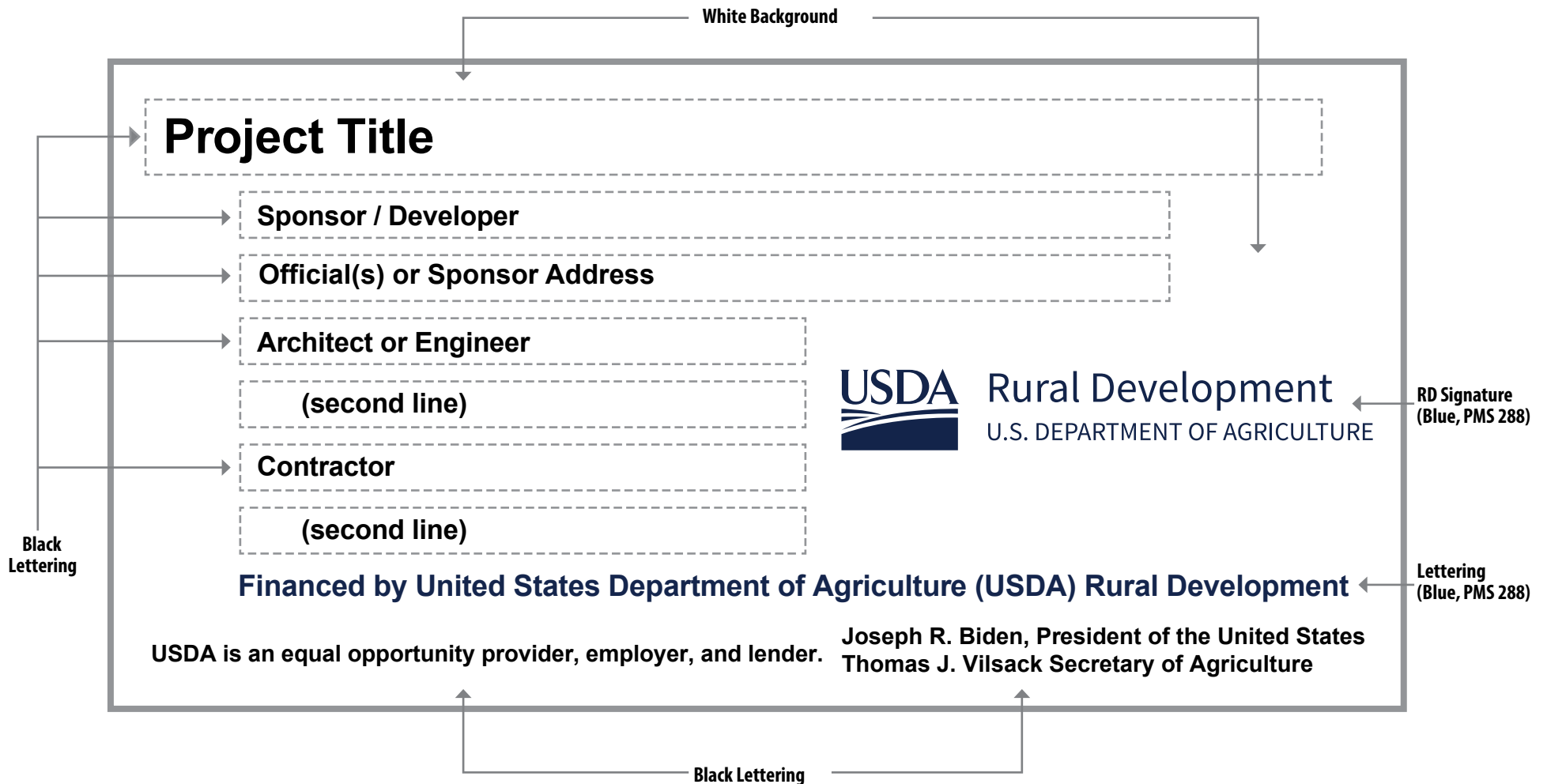
_____ Date

_____ State & License No.



TEMPORARY CONSTRUCTION SIGN FOR RURAL DEVELOPMENT PROJECTS

Recommended Fonts: Helvetica or Arial



SIGN DIMENSIONS : 1200 mm x 2400 mm x 19 mm (approx. 4' x 8' x 3/4")
PLYWOOD PANEL (APA RATED A-B GRADE-EXTERIOR)