U.S. Department of Agriculture
Rural Development

Limited English Proficiency (LEP)
Implementation Strategy for Federally Assisted Programs
USDA Rural Development Limited English Proficiency (LEP) Implementation Strategy for Federally Assisted Programs

This Implementation Strategy sets forth the recommended strategy and step-by-step procedures for ensuring that persons with Limited English Proficiency (LEP) have meaningful access to programs and activities receiving Federal financial assistance from the U.S. Department of Agriculture (USDA) Rural Development. It provides technical assistance to help recipients and sub-recipients implement USDA’s “Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Persons with Limited English Proficiency” (USDA LEP Guidance) and to come into and maintain compliance with LEP requirements.

The Implementation Strategy sets out the general parameters for recipients in providing translations of written materials, provides examples that illustrate the importance of such translations, and describes the flexibility that recipients have in meeting this obligation. It also describes methods recipients may use to meet their obligation to provide, under certain circumstances, competent oral interpretative services to LEP persons.
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Purpose
This Implementation Strategy sets forth the recommended strategy and step-by-step procedures for ensuring that persons with Limited English Proficiency (LEP) have meaningful access to programs and activities receiving Federal financial assistance from the U.S. Department of Agriculture (USDA) Rural Development. It provides technical assistance to help recipients and sub-recipients implement USDA’s “Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Persons with Limited English Proficiency” (USDA LEP Guidance) and to come into and maintain compliance with LEP requirements. By following the recommendations and using the resources contained herein, recipients will be better able to prepare and implement language assistance plans and to effectively communicate with LEP individuals who interact with their organizations.

Policy
USDA is committed to providing meaningful access to its programs and services to persons who, as a result of their national origin, are limited in English proficiency. It is USDA policy to ensure no person is subjected to prohibited discrimination based on national origin in any program receiving Federal financial assistance from USDA or its agencies.

Authorities
Section 601 of Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d et seq., and its implementing regulations provide that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity that receives Federal financial assistance. The Supreme Court, in *Lau v. Nichols*, 414 U.S. 563 (1974), interpreted Title VI regulations promulgated by the former U.S. Department of Health, Education, and Welfare to hold that Title VI prohibits conduct that has a disproportionate effect on LEP persons because such conduct constitutes national origin discrimination.

Executive Order 13166, “Improving Access to Services for Persons with Limited English Proficiency,” reprinted at 65 FR 50121 (August 16, 2000), directs each Federal agency to examine the services it provides and develop and implement a system by which LEP persons can meaningfully access those services. The Executive Order states that recipients must take reasonable steps to ensure meaningful access to their programs and activities by LEP persons. Federal agencies were instructed to publish guidance for their respective recipients in order to assist them with their obligations to LEP persons under Title VI. The Executive Order recommended uniform guidance to recipients on the preparation of a plan to improve access to its federally assisted programs and activities by eligible LEP persons. Each plan shall be consistent with the standards set forth in the U.S. Department of Justice’s Policy Guidance.

Consistent with the DOJ LEP Guidance, USDA published its Final “Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Persons with Limited English Proficiency” on November 28, 2014. The Guidance does not create new obligations for recipients, but provides guidance to recipients in meeting their existing LEP obligations. It clarifies the responsibilities of recipients and will assist them with fulfilling their responsibilities to LEP persons under Title VI and its regulations.

7 CFR Part 15 Subpart A effectuates the provisions of Title VI of the Civil Rights Act of 1964 (hereinafter referred to as the “Act”) to the end that no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity of an applicant or recipient receiving Federal financial assistance from the U.S. Department of Agriculture or any Agency thereof.

7 CFR Part 1901 Subpart E which contains policies and procedures for implementing the regulations of the U.S. Department of Agriculture issued pursuant to Title VI of the Civil Rights Act of 1964, Title VIII of the Civil Rights Act of 1968, Executive Order 11246, and the Equal Credit Opportunity Act of 1974, as they relate to Rural Development. Nothing herein shall be interpreted to prohibit preference to American Indians on Indian Reservations.

Definitions/Key Terms

(1) Federally Assisted Programs and Activities. Programs and activities of an entity that receives Federal financial assistance.

(2) Interpretation. The process by which the spoken word is used when transferring meaning between languages.

(3) Limited English Proficient (LEP) Persons. Persons who do not speak English as their primary language and have a limited ability to read, speak, write, or understand English are limited English proficient, or LEP.

(4) Office of Compliance, Policy, Training, and Cultural Transformation (OCPTCT). This office is responsible for the supervision and oversight of four divisions: compliance, policy, training and cultural transformation. The oversight
responsibilities include the management of all work products, collaboration with USDA agencies, quarterly and annual reports and liaison with other federal agencies.

(5) Qualified Interpreter. An individual who is competent to provide interpretation services at a level of fluency, comprehension, impartiality and confidentiality appropriate to the specific nature, type, and purpose of the information at issue.

(6) Recipient. Any State, political subdivision of any State, or instrumentality of any State or political subdivision, any public or private agency, institution, or organization, or other entity, or any individual, in any State, to whom Federal financial assistance is extended, directly or through another recipient, including any successor, assign, or transferee thereof, but such term does not include any ultimate beneficiary.

(7) Translation. The process of transferring ideas expressed in writing from one language to another language.

(8) Vital Document. Paper or electronic written material that contains information that is critical for accessing a program or activity, or is required by law, such as consent forms, applications, and notices of rights.

Scope
This Implementation Strategy applies to all programs and activities receiving Federal financial assistance from the USDA Rural Development.

Background/Mission of Agency
USDA Rural Development (RD) is committed to helping improve the economy and quality of life in rural America. Through various programs, RD helps rural Americans in many ways.

RD offer loans, grants and loan guarantees to support essential services such as housing, economic development, health care, first responder services and equipment, and water, electric and communications infrastructure. RD promotes economic development by supporting loans to businesses through banks, credit unions and community-managed lending pools. RD offers technical assistance and information to help agricultural producers and cooperatives start and improve the effectiveness of their operations. RD also provides technical assistance to help communities undertake community empowerment programs and to help rural residents buy or rent safe, affordable housing and make health and safety repairs to their homes.
Four-Factor Analysis

This section provides recipients with step-by-step suggestions for conducting an LEP needs assessment based on the four-factor analysis framework. After conducting the four-factor analysis, USDA RD recipients will be in a better position to implement a cost-effective mix of language assistance measures and to target resources appropriately. The four factors are as follows:

- **Factor 1**: The Number and Proportion of LEP Persons Served or Encountered in the Eligible Service Population
- **Factor 2**: The Frequency with which LEP Individuals Come into Contact with the RD Funded Programs, Activities, and Services
- **Factor 3**: The Importance to LEP Persons of the RD Program, Activities and Services
- **Factor 4**: The Resources Available to the Recipient and Costs

The steps in this section provide recipients with the means to assess their LEP needs, identify available resources, and develop their language assistance plan.

**Factor 1 – Step 1: Prior Experiences with LEP individuals**

Examine prior experiences with LEP individuals. This task involves reviewing the relevant benefits, services, and information provided by the RD funded program and determining the extent to which LEP persons have come into contact with these functions. The RD funded program probably has come into contact or could come into contact with LEP persons through one or more of the following channels:

- Contact with existing customer base
- Calls to customer service telephone line for program services
- Visits to program offices
- Access to website
- Attendance at community meetings or public hearings hosted through the RD funded program

Program staff may be able to provide relevant (if anecdotal) information on how frequently they come into contact with LEP persons. The recipient may want to contact customer service representatives and community outreach staff to obtain this information and to find out if the staff person is able to identify the LEP persons’ native language, how successful the agency has been in communicating with LEP persons, and common questions directed to the agency by LEP persons. Consider reviewing any available records on the number of hits the program receives on its non-English web pages or requests for interpreters at public meetings or results of any feedback that captures the experiences of LEP persons.
Factor 1 – Step 2: Data from the U.S. Census Bureau

Become familiar with data from the U.S. Census Bureau. Data from the 2010 Census and the Census Bureau’s American Community Survey (ACS) is currently available at www.census.gov. The 2000 Census, which was designed to measure the count of the population and housing as of April 1, 2000, includes data on the number of persons who indicated that they spoke English “very well,” “well,” “less than well,” and “not at all” accessed at the national, state, county, census tract, census block group, and census block level. The 2005 ACS produced a period estimate of the characteristics of the population and housing for the period from January through December of 2005. The ACS provides single-year estimates for geographic areas with populations of 65,000 or more and provides estimates for smaller geographic areas. Unlike the 2000 Census, the 2005 ACS does not include data at the census tract, block group, or block level. As of April 2007, data on the ability to speak English is available at the state level and for some counties. As future annual ACS surveys become available, data will become available at smaller geographic levels.

The ACS includes a data set table that divides the non-English speaking element into the four major language categories (“very well,” “well,” “less than well,” and “not at all”) and an additional table that provides greater detail than the 2000 census on the specific languages of populations who speak English less than very well. The ACS also provides information on the age, place of birth, citizenship status, poverty status, and educational attainment of persons who do speak languages other than English at home.

The Census Bureau collected language data in the 1980, 1990, and 2000 decennial censuses using a series of three questions asked of the population five years old and over. The first question asked if the person spoke a language other than English at home. Those who responded “yes” to this question were then asked to report the language they spoke. The Census Bureau coded these responses into 381 detailed language categories. The third question asked how well that person spoke English, with answer categories of “very well,” “well,” “not well,” and “not at all.” Beginning in 2010, the questions were no longer asked on the decennial census. These same three questions are now asked each year on the American Community Survey (ACS), which is the primary source of language data.

Language and English-speaking ability questions that were historically collected once every ten years in the decennial census are now captured annually in the ACS. The ACS collects information from a large annual sample of approximately three million housing unit addresses and therefore provides more reliable statistics. The ACS is administered to a sample of the entire resident population, including those living in group quarters, which makes most estimates from the ACS comparable with those from earlier censuses. Also, the ACS provides reliable estimates for small levels of geography, including counties, cities, and tracts, allowing exploration of the distribution of language use across states and metropolitan areas of the United States. Lastly, visual representation, mapping, and illustrated distributions are currently available through the
Census Bureau’s 2011 Language Mapper Tool which pulls data from Table B16001 (Language spoken at home by ability to speak English for the population 5 years and over).


**Factor 1 – Step 3: Identify the geographic boundaries of the area served**

Identify the geographic boundaries of the area served by the RD funded program and obtain the census data on the LEP populations in that area. In some cases, the geographic boundary of the RD funded program service area is identical to the geographical boundaries of specific municipalities or counties. If this is the case, access census data on the LEP population at the county level. Additional geographies can be created by combining census data on LEP populations as long as the combining geography is the same. For example, adding multiple data sets at the county level, or at the census tract level, or similar geography.

**Factor 1 – Step 4: Analyze the data collected**

Using the downloaded census data, determine the number and proportion of LEP persons in the RD funded program service area, as well as the languages most frequently spoken by LEP persons. The 2011 Language Mapper Tool can also be used to provide a visual estimate of the number and proportion of LEP persons. RD recommends that agencies use 2013 ACS Census data to identify the proportion of LEP persons. This information should help agencies identify if their LEP population is concentrated around a specific geographic location, specific area, or culturally significant region.

Additional agencies, such as state Departments of Education, may have a bilingual education office that collects LEP student enrollment data from all school districts in a state. State bilingual education staff may be able to identify LEP populations and types of languages spoken in an area served by a RD funded program. School districts can serve as an excellent data source, as they have a responsibility to identify students who are LEP and whose primary language is not English and provide assistance to such students. Newly registered students and parents complete Home Language Surveys that identify the primary language spoken by the student and his or her family.

The U.S. Department of Labor has special tabulations of census data on LEP populations as a resource for providers of employment and training services. Information is broken out for 39 census languages and language clusters, and the tabulations are designed to match the service areas of states and local Workforce Investment Areas. Local Workforce Investment Areas are jurisdictions comprised of one or more counties within a state and these jurisdictions may match or overlap with the RD funded program service area ([www.doleta.gov/reports/CensusData](http://www.doleta.gov/reports/CensusData)).
Factor 1 – Step 5: Outreach
Reach out to community organizations that serve LEP persons. Data from the U.S. Census, as well as state and local agencies, provide a good starting place for identifying individuals who are limited English proficient, but it is worth noting Census data includes the following drawbacks:

- The Census Bureau’s survey methodology may undercount the actual number of people who speak English less than very well.
- The Census records people who report that they speak English less than very well, but does not contain information on the extent to which people read, write, or understand English, which are also elements of limited English proficiency.
- The Census has limited information on what languages are spoken by LEP people at the census tract, block group, or block level.

Given these limitations, RD recommends conducting community outreach to organizations that work with LEP populations. Community organizations may be able to provide you with information that is not included in the Census, such as information on specific languages spoken by the LEP population, population trends, and what services are most frequently sought by the LEP population.

Factor 2 – Step 1: Review the Programs
Review the relevant programs, activities, and services provided as well as results from community organizations. While reviewing prior experiences with LEP persons in Factor 1 Step 1, compile a list of the programs, activities, and services with which LEP persons most frequently come in contact. In addition, any information gained from interviews with community organization representatives will likely yield information on how frequent LEP persons utilize various services and access programs.

Factor 2 – Step 2: Consult directly with LEP persons
Another way to obtain relevant information is to conduct face-to-face meetings, such as focus groups or individual interviews, with LEP individuals. In order to hold a successful meeting, partner with community organizations to advertise the event and hold meetings during times and at locations that are convenient and accessible to the LEP population. Oral language interpreters should be present at the meeting and any written material distributed should be translated.

Factor 3 – Step 1: Identify Services
Identify the most critical services of the RD funded program. The more important the activity, information, service, or program, or the greater the possible consequences of the contact to the LEP individuals, the more likely language services are needed. For example, the obligation to communicate rights to an LEP person requiring rental assistance differs from the responsibility to provide intermediary business lending. Recipients must determine whether denial or delay of
access to services or information could have serious or life-threatening implications for a LEP person.

Recipients should identify the RD funded programs or activities that could have serious consequences to individuals if language barriers prevent a person from benefiting from the activity. Additionally, recipients should determine the impact of delays in the provision of LEP services on actual and potential beneficiaries.

**Factor 3 – Step 2: Input from Community Organizations and LEP Persons**
Review input from community organizations and LEP persons. Contact with community organizations serving LEP persons, as well as contact with LEP persons themselves, should provide information on the importance of the types of services provided to LEP populations. Depending on the data collection results, some RD funded programs may be of particular importance to the LEP population.

**Factor 4 – Step 1: Inventory**
Inventory the language assistance services and measures currently provided, along with the associated costs. This last step in the four-factor analysis will weigh the demand for language assistance against current and projected financial and personnel resources. This analysis should aid in determining if the current language services are cost effective and should also help plan future investments that will provide the most needed assistance to the greatest number of LEP persons within the limits of resources.

As part of the evaluation of past experience with LEP persons, a record of language assistance services currently being provided was developed. Program marketing, customer relations, and community outreach efforts may be able to determine the costs associated with translating documents, contracting with language interpreters, producing pictographs, installing multilingual technology, and other language assistance measures. It may also be helpful to estimate whether these costs have increased in recent years or are expected to increase.

In addition to estimating the financial cost of providing language assistance, recipients should estimate the number of staff and percentage of staff time that is associated with providing language assistance.

**Factor 4 – Step 2: Determine Additional Services**
Determine what, if any, additional services are needed to provide meaningful access. Based on the analysis of demographic data and contact with community organizations and LEP persons, determine what information should be translated into additional languages, or if additional oral or written language services should be provided, or if existing language assistance should be made available on a more widespread basis. If this is the case, create a list of specific measures to provide meaningful access to the RD funded programs and services.
Factor 4 – Step 3: Analyze the Budget
To the extent practical, consider what percentage of capital and/or operating budget could be devoted to additional language assistance expenses, if needed, and whether the budget for these expenses will remain stable over time or whether it may be subject to reduction. Also estimate the cost of providing new or additional measures by obtaining price quotes from translating and interpreting firms or by consulting with other community-based agencies that have implemented similar assistance measures.

Factor 4 – Step 4: Cost Effective Practices
Consider cost effective practices for providing language services. Evaluate access to language assistance products developed and paid for by local, regional, or state government agencies and the utilization of any bilingual staff that could provide language assistance on an ad hoc or regular basis. These resources should be inventoried and taken into consideration as part of the assessment of total resources available.

Also consider establishing arrangements with qualified community volunteers to provide written or oral language translation. Although volunteers may be willing to provide their services free of charge, verify that they can provide competent interpretation service and provide training to clearly identify their role. Specialized terms and concepts that pertain to the RD funded programs and activities may need further detail or explanation to ensure effective communications.

Monitoring/Evaluation
Monitoring and evaluation of a recipient’s compliance with LEP requirements will be reviewed in accordance with RD Instruction 1901-E, “Civil Rights Compliance Requirements.” Compliance reviews will be conducted until the loan is paid in full or otherwise satisfied; or sold through the sale of Agency assets or until the final advance of grant funds.

RD State Directors will schedule and ensure the following Civil Rights compliance reviews are completed:

Initial Reviews
1. Water and Waste Disposal loans and/or grants: The initial compliance review will be conducted before loan or grant closing or before the construction begins, whichever occurs first.
2. Technical Assistance grants, Technical Assistance and Training grants and Nonprofit National Corporations grants: The initial compliance review will be conducted before the grant is closed.
3. Rural Housing Site loans: The initial compliance review will be conducted at the beginning of the sale of the site developed with the RD loan.
4. Watershed loans for future water supply: The initial compliance review will be conducted when usage of the stored water begins.
5. All other loans: The initial compliance review of loans will be conducted within the first reporting year after the loan closed or after Form RD 400-4, “Assurance Agreement,” is signed.

Subsequent Reviews
Subsequent reviews will be conducted at intervals no less than 90 days or more than three years after the previous Civil Rights compliance review.

1. Water and Waste Disposal organizations with loans that had at least two compliance reviews after loan closing covering a six-year period, and where no discriminatory practices are indicated, the frequency of subsequent reviews may be reduced to six years.

2. If Water and Waste Disposal organizations have merged to form a new organization, two reviews will be conducted at three-year intervals after the merger and one every six years thereafter, provided no discriminatory practices are noted.

3. Rural Development’s Office of Civil Rights (OCR) will also conduct pre-award and post award compliance reviews in all program areas. The compliance review selection factors consist of: (1) number of complaints filed in a particular state, (2) multiple complaints filed under a program, (3) problems identified by stakeholders, (4) geographical targeted area, and (5) lack of reviews conducted in past years. The review process will entail interviews, docket reviews, file reviews, and a review of language assistance available to LEP persons. The reviews will assess application processing, loan/grant servicing, customer service, outreach, data collection, public policy notification, and reasonable accommodation policy/practices.

Implementing the Language Access Plan (LAP)
Using the four-factor analysis results, RD recipients must develop a Language Access Plan (LAP) and an implementation plan to address the needs of the LEP populations they serve. LAP implementation plans must include the following six elements:

1. Identifying LEP individuals who need language assistance
2. Provisions for language assistance measures
3. Staff training
4. Notices to identified LEP persons or populations
5. Vital document translations
6. Means to monitor and update the plan
In addition, effective plans set clear goals, manage accountability, provide opportunity for input, and maintain current planning throughout the process.

**LAP Part 1: Identifying LEP Individuals Who Need Language Assistance**

Conduct an assessment of the number or proportion of LEP individuals eligible to be served or encountered and the frequency of encounters pursuant to the first two factors in the four-factor analysis. One way to determine the language of communication is to use language identification (or “I Speak”) cards, which invite LEP persons to identify their language needs to staff. Such cards, for instance, might say, “I speak Spanish” in both Spanish and English, or “I speak Vietnamese” in both English and Vietnamese. The language identification cards are available at [www.lep.gov/resources/resources.html](http://www.lep.gov/resources/resources.html).

When records are kept of past interactions with members of the public, the language of the LEP person can be included as part of the record. In addition to helping employees identify the language of LEP persons they encounter, this process will help in future applications of the first two factors of the four-factor analysis. Additionally, posting notices in commonly encountered languages notifying LEP persons of language assistance will encourage self-identification of language needs.

Recipients should consider including the following information in their implementation plan as well:

1. Data collected from the U.S. Census as well as state and local demographic data.
2. Information gathered from community organizations that serve LEP persons.
3. Information gathered from face-to-face meetings with LEP persons or from surveys of LEP persons.
4. Information gathered from interviews with agency staff that typically come in contact with LEP persons.
5. Information kept by the recipient on past interactions with members of the public who are LEP.

The plan should distinguish the native language spoken by the population and geographic areas (if any) where LEP persons are concentrated. Where there are concentrations of LEP persons who speak different native languages, such as Spanish, Vietnamese, or Korean, the plan should identify where each group is concentrated. The plan should also describe, as accurately as possible, the frequency in which LEP individuals come into contact with the recipient’s particular services.
LAP Part 2: Language Assistance Measures
An effective LAP plan should include information about the ways in which language assistance will be provided. Recipients, at a minimum, must include information on the following:

- Types of language services available
- How recipient staff can obtain services
- How to respond to LEP callers
- How to respond to written communication from LEP persons
- How to respond to LEP individuals who have in-person contact with program staff
- How to ensure competency of interpreters and translation services

LAP Part 3: Training Staff
Recipient staff members should know their obligations to provide meaningful access to information and services for LEP persons and all employees in public contact positions should be properly trained. An effective LAP plan must include a training component to ensure:

- Staff members are knowledgeable of LEP policies and procedures.
- Staff members in contact with the public are trained to work effectively with in-person and telephone interpreters.

LAP Part 4: Vital Document Translations
A vital document is any document that is critical for ensuring meaningful access to the recipients’ major activities and programs by beneficiaries generally and LEP persons specifically. Whether or not a document (or the information it solicits) is “vital” may depend upon the importance of the program, information, encounter, or service involved, and the consequence to the LEP person if the information in question is not provided accurately or in a timely manner. For instance, applications for auxiliary activities, such as certain recreational programs in public housing, would not generally be considered a vital document, whereas applications for housing would be considered vital. However, if the major purpose for funding the recipient were its recreational program, documents related to those programs would be considered vital. A recipient’s Language Access Plan must contain a recurring and consistent means for determining, over time and across its various activities, what documents are “vital” to the meaningful access of the LEP populations they serve.

RD recipients may use the “Safe Harbor” provision for translation of vital written materials found in the USDA LEP Guidance. The provision outlines the circumstances that can provide a “Safe Harbor” for compliance with LEP requirements. If a recipient provides written language services
under the conditions identified in the below table, such action will be considered strong evidence of compliance with written translation obligations under Title VI:

<table>
<thead>
<tr>
<th>Size of Language Group</th>
<th>Written Language Assistance</th>
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<tbody>
<tr>
<td>1,000 or more in the eligible population in the market area or among current beneficiaries</td>
<td>Translated vital documents</td>
</tr>
<tr>
<td>5% or more of the eligible population or beneficiaries and 50 or more in number</td>
<td>Translated vital documents</td>
</tr>
<tr>
<td>5% or more of the eligible population or beneficiaries and fewer than 50 in number</td>
<td>Translated written notice of right to receive free oral interpretation of documents</td>
</tr>
<tr>
<td>Less than 5% of the eligible population or beneficiaries and less than 1,000 in number</td>
<td>No written translation is required</td>
</tr>
</tbody>
</table>

Failure to provide written translations under the cited circumstances does not mean the recipient is in noncompliance. Rather, the “Safe Harbor” provision provides a starting point for recipients to consider:

- Whether, and at what point, the importance of the service, benefit, or activity involved warrants written translation of commonly used forms into frequently encountered languages other than English?
- Whether the nature of the information sought warrants written translation of commonly used forms into frequently encountered languages other than English?
- Whether the number or proportion of LEP persons served warrants written translation of commonly used forms into frequently encountered languages other than English?

Recipients should note the Safe Harbor provisions apply to the translation of written documents only and do not affect the requirement to provide meaningful access to LEP persons where oral language services are needed and are reasonable.

**LAP Part 5: Providing Notice to LEP Persons**

Once a recipient has decided, based on the four-factor analysis, to provide language services, it is important the recipient notify LEP persons of services available free of charge. Recipients should provide such notice in languages LEP persons would understand. Methods of providing notification may include:
• Posting signs in intake areas and other entry points. This is important so that LEP persons can learn how to access language services at initial points of contact.

• Stating in outreach documents that language services are available from the recipient. Announcements could be in, for instance, brochures, booklets, and in outreach and recruitment information. These statements should be translated into the most common languages and could be “tagged” onto the front of common documents.

• Working with community-based organizations and other stakeholders to inform LEP individuals of the recipients’ services, including the availability of language assistance services.

• Using an automated telephone voice mail attendant or menu system. The system could be in the most common languages encountered. It should provide information about available language assistance services and how to obtain them.

• Including notices in local newspapers in languages other than English, as well as established community papers published in languages other than English.

• Providing notices on non-English language radio and television stations about the available language assistance services and how to obtain them.

• Providing presentations and/or notices at schools and religious organizations.

**LAP Part 6: Monitoring and Updating the Language Access Plan**

To measure and maintain the effectiveness of a language access plan, recipients shall monitor, evaluate and update, as needed, the plan’s policies and procedures. Regardless of budget, some level of evaluation can be conducted, as the results can assist in improving future efforts of providing language services. Evaluation can track outreach efforts, discover possible dissemination challenges, make corrections, and determine whether language services have impacted the intended customers or relations with local LEP populations.

Monitoring reviews shall evaluate changes in:

• Current LEP populations in the service area or population affected or encountered.
• Frequency of encounters with LEP language groups.
• Nature and importance of activities to LEP persons.
• Availability of resources, including technological advances and sources of additional resources, and the costs imposed.
• Whether existing assistance is meeting the needs of LEP persons?
• Whether staff is aware of and understands the LEP plan and how to implement it?
• Whether identified sources for assistance are still available and viable?
Staff Training

The RD Office of Civil Rights (OCR) Program Compliance Branch is the lead for providing technical assistance to USDA RD State Offices and National Program Offices on matters pertaining to compliance with LEP requirements in all RD assisted programs. RD State Directors are responsible for ensuring recipient compliance with LEP requirements.

The OCR will provide direct LEP guidance for USDA RD employee training and development. Likewise, recipients must have a training component in their Language Access Plan that provides timely, accurate, and comprehensive LEP training to their employees. At a minimum, recipients should provide training to new employees, as well as refresher training, on an interval that is commensurate with the size, impact, and scope of the RD funded program.
APPENDIX A - USDA LEP GUIDANCE

Rules and Regulations

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1501.

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DEPARTMENT OF AGRICULTURE
7 CFR Part 15
[7552-2014-0002]
RIN 051-1AA70
Guidance to Federal Financial Assistance Recipients Regarding the Title VI Prohibition Against National Origin Discrimination Affecting Persons With Limited English Proficiency

AGENCY: Office of the Assistant Secretary for Civil Rights, USDA.

ACTION: Significant final guidance.

SUMMARY: The U.S. Department of Agriculture (USDA) is publishing the final guidance on the Title VI prohibition against national origin discrimination that affects limited English proficient persons, consistent with Title VI of the Civil Rights Act of 1964, as amended, Title VI regulations, and Executive Order 13166, “Improving Access to Services for Persons with Limited English Proficiency (LEP).” The guidance clarifies the obligations of entities that receive Federal financial assistance from USDA. The guidance does not create new obligations, but rather, provides guidance for USDA recipients in meeting their existing obligations to provide meaningful access for LEP persons.

DATES: This final guidance is effective November 28, 2014.

FOR FURTHER INFORMATION CONTACT: For further information contact Anne G. Straman, Chief, Policy Division, Telephone (202) 205-5953; Fax (202) 690-2345.

SUPPLEMENTARY INFORMATION: Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d–2000d–6 and the USDA implementing regulations at 7 CFR part 15, subpart A, “Non-discrimination in Federally-Assisted Programs of the Department of Agriculture Effectuation of Title VI of the Civil Rights Act of 1964,” provide that no person shall be discriminated against on the basis of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity of an applicant or recipient receiving Federal financial assistance from the Department of Agriculture or any Agency thereof. The purpose of this guidance is to clarify the responsibilities of recipients and subrecipients (recipients who receive financial assistance from USDA and to assist them in fulfilling their responsibilities to LEP persons under Title VI of the Civil Rights Act of 1964, as amended, and the implementing regulations. This guidance does not impose any new requirements, but relaxes longstanding Title VI and regulatory principles and clarifies USDA’s position that, in order to avoid discrimination against LEP persons on the ground of national origin, recipients must take reasonable steps to ensure that LEP persons receive the language assistance necessary to afford them meaningful access to USDA programs and activities, free of charge.

On March 14, 2012, the Office of Management and Budget (OMB) issued a Report to Congress entitled, “Assessment of the Total Benefits and Costs of Implementing Executive Order No. 13166: Improving Access to Services for Persons with Limited English Proficiency.” Among other things, the Report recommended the adoption of uniform guidance across all Federal agencies, with flexibility to permit tailoring to each agency’s specific recipients. Consistent with this OMB recommendation, the Department of Justice (DOJ) published LEP Guidance for DOJ recipients, which was drafted and organized to function as a model for similar guidance by other Federal agencies. See 67 FR 41455 (June 18, 2002). Consistent with this directive, USDA has developed this final guidance, which is designed to reflect the application of the DOJ Guidance standards to the programs and activities of USDA recipients.

This guidance sets out the policies, procedures, and steps that USDA recipients may take to ensure that LEP persons have meaningful access to federally assisted programs and activities and provides examples of policies and practices that USDA may find violative of Title VI and Title VI regulations.

It also sets out the general parameters for recipients in providing translations of written materials, provides examples that illustrate the importance of such translations, and describes the flexibility that recipients have in meeting this obligation. For recipients who desire greater specificity regarding written translations for LEP persons, the guidance contains population thresholds. Use of these population thresholds is not mandatory. The guidance explicitly states that the failure to meet these population thresholds will not result in a finding of noncompliance, but that USDA will review a number of other factors in determining compliance. The guidance also describes some of the methods recipients may use to meet their obligation to provide, under certain circumstances, competent oral interpretative services to LEP persons. It has been determined that this guidance does not constitute a regulation subject to the rulemaking requirements of the Administrative Procedure Act.

Comments on Proposed Guidance

On March 8, 2012, USDA published a proposed final guidance in the Federal Register which resulted in 18 public interest group/firms responding with over 160 comments and recommendations. The comments and/or the recommendations are addressed as follows:

1. Recipient LEP Plan

We received five comments recommending that the Guidance should require recipients to develop an LEP plan. USDA is cognizant of the value of written LEP plans in documenting a recipient’s compliance with its obligation to ensure meaningful access by LEP persons, and in providing a framework for the provision of reasonable and necessary language assistance to LEP persons. USDA is also aware of the related training and operational, and planning benefits most recipients would derive from the generation and maintenance of an updated written language assistance plan for use by its employees. In the large majority of cases, the benefits flowing from a written language assistance plan have caused or will likely cause recipients to develop, with
varying degrees of detail, such written plans. Even small recipients with limited contact with LEP persons would likely benefit from having a plan in place to ensure that, when the need arises, staff have a written plan to turn to in any situation. The plan can also help recipients provide access to a telephone or community-based interpretation service when determining what language services to provide and how to provide them.

However, the fact that the vast majority of USDA’s recipients already have or will likely develop a written LEP plan to reap its many benefits does not necessarily mean that every recipient, however small its staff, limited its resources, or focused its services, will realize the same benefits and thus must follow an identical path. Without clear evidence suggesting that the absence of written plans for every recipient is impeding accomplishment of the goal of meaningful access, USDA elects at this juncture to strongly recommend but not require written language assistance plans. USDA stresses in this regard that neither the absence of a requirement of written LEP plans nor the election by an individual recipient against drafting a plan obviates the underlying obligation of each such recipient to provide, consistent with Title VI, the Title VI regulations, and this Guidance, reasonable, timely, and appropriate language assistance to the LEP populations each serves.

One commenter recommended that the Guidance should require community involvement in developing the recipients’ written LEP plans. The Guidance currently contains language to encourage recipients to involve the community in developing their written LEP plans. No additional language is being added to address this recommendation.

2. USDA LEP Plan for Conducted Programs

We received 10 comments recommending that USDA develop its own LEP Plan for Federally conducted programs to ensure that it is accessible in USDA operations. USDA issued its Departmental Regulation 4350-005, Prohibition Against National Origin Discrimination Affecting Persons with Limited English Proficiency in Programs and Activities Conducted by U.S. Department of Agriculture effective June 4, 2001. This Departmental Regulation functions as USDA’s LEP Plan and is publicly available at http://www.csce.usda.gov/document/departmental-regulation-4350-005.

3. Updating Automated Online Services

We received seven comments recommending the expansion of online language assistance services. Some of the commenters specifically identified programs providing essential services like food and shelter to consumers, and cited the Social Security Web site as an example. In response to this comment, USDA added a new subparagraph under Section VI in the Guidance that recommends USDA recipients who provide online communications and services to customers include in their LEP plans their strategies for addressing language access needs. (See Section VI, No. 5 Ensuring Online Automation Services).

4. Expansion of Language Beyond Spanish

We received 16 comments recommending that recipients translate outreach material in non-English languages in addition to Spanish. We agree that recipients must take into account the language or languages of their LEP customers within their programs and specific locations. Part V (B) of the Guidance indicates that considering the four-factor analysis can be helpful for determining when to provide language services, including translating vital written materials into additional languages. Moreover, the Safe Harbor Provision in Part V (B) also supports translation into non-Spanish languages when the “LEP language group constitutes 5 percent or 1,000, whichever is less, of the population of persons eligible to be served or likely to be affected or encountered.”

Nevertheless, we have added additional recommendations that recipients post notices/links regarding the availability of language assistance services in the most commonly encountered languages for their programs and/or areas. (See Section VI, Elements of Effective Plan on Language Assistance for LEP Persons, No. 4. Notice to LEP Persons).

5. “Reasonable” Steps

We received six comments stating that the Guidance standard that requires recipients to take “reasonable” steps in providing LEP persons with a meaningful opportunity to participate in Federally funded educational programs is vague. Rather than have recipients consider how to apply this standard, commenters recommended that the standard should clarify that if an individual is LEP, interpretation should always be deemed reasonable.

The Guidance provides criteria for recipients to consider when deciding to provide language assistance services to LEP individuals. Specifically, the Guidance provides specific steps that recipients may take to ensure that LEP persons have meaningful access by utilizing a balancing test as a starting point (see Section IV, “How Does a Recipient Determine the Extent of its Obligation to Provide LEP Services?”). The Guidance further defines the balancing test as a four-factor analysis that balances the following four factors:

a. The number or proportion of LEP persons eligible to be served or likely to be encountered within the area served by the recipient;

b. The frequency with which LEP persons come in contact with the program or activity;

c. The nature and importance of the program, activity, or service to people’s lives; and

d. The resources available to the recipient and costs.

The Guidance states that the four-factor analysis is a “starting point” to help a recipient determine when the recipient is “required to take reasonable steps to ensure meaningful access to their programs and activities by LEP persons.” Given the flexibility of this standard and its context-specific nature, it is inherently flexible to adjust for the various populations, language groups, programs, and activities served. Consequently, we recognize that there are some instances when interpreters constitute reasonable steps but we also acknowledge that different scenarios may yield different results, based on the four-factor analysis.

6. Interpreter and Translation Services

We received five comments on the use of interpreter and translation services. Specifically, the comments received indicated that the language in the Guidance should be changed or strengthened to clearly state that USDA-funded recipients must use qualified interpreters and provide free interpreter services to all LEP persons. The commenters also noted that vital documents must also be translated by qualified translators. We believe that the Guidance addresses the issue of qualifications adequately under “Competence of Interpreters (See Section A “Oral Language Services”)” and that stronger language is not needed nor added. However, to guarantee that recipients ensure the competency of the language service provider, Office of the Assistant Secretary for Civil Rights (OASCR) shall recommend that all recipients include their strategy for utilizing competent and impartial interpreters and translators in the LEP plans.
Two commenters focused on the use of children as interpreters. Both commenters indicated that the use of children should not be allowed. The Guidance, in accordance with DOJ requirements, cautions that “in many circumstances, family members, especially children, are not competent to provide quality and accurate interpretation in particular programs’ confidentiality, privacy, or conflict of interest may arise.” This language makes clear that children may only be used under the most circumscribed circumstances and only as a last resort alternative. To provide further clarity on this issue, we have modified the Guidance’s language to note that reliance on children is discouraged unless it is an emergency situation that is reasonably foreseeable. (See Section V “Selecting Language Assistance Services,” Subsection, Use of Family Members, Friends or Others as Interpreters.)

7. Considering Low Literacy

We received six comments recommending that written communication by the recipient (such as online translations and program applications) be written so as to be understood by individuals with low literacy (such as language directed to a 5th grade level). No change was made as USDA’s current policy follows the Federal Plain Writing language standards, which includes taking the audience’s current level of knowledge into account. (See Section V “Language Assistance Services and Competence of Translators”) to ensure that individuals with low literacy can understand written material.

6. Using Other Regulations To Set Minimum Thresholds for Translations and Interpretations

We received nine comments recommending that the Department consider using regulations or sub-regulatory guidance to set specific minimum thresholds for translation and interpretation in particular programs such as the Supplemental Nutrition Assistance Program; the Special Supplemental Nutrition Program for Women, Infants, and Children; and the Child Nutrition Program. No changes were made since the Guidance offers a fact-dependent low-literacy assessment to determine the extent of a recipient’s obligation to provide LEP services. Moreover, with respect to translation, the Guidance outlines Safe Harbor Provisions, actions that are considered strong evidence of compliance with the recipient’s written-translation obligation. (See Section IV, “How Does a Recipient Determine the Extent of its Obligation to Provide LEP Services?” and Section V, “Data Collection for Assistance Services.”) However, to ensure that this issue is taken into further consideration, OASCR will encourage USDA agencies to consider this recommendation in their work with recipients, since the recipient’s LEP plan would be the proper vehicle to set specific thresholds for translation and interpretation stated in the Guidance.

9. Require Data Collection

We received 10 comments from various organizations on the need for data collection, as well as the need to track and monitor receipt of translation requests. The commenters specifically recommended that recipient agencies be required to collect language preference data on their LEP beneficiaries and report this data to USDA on at least an annual basis.

In response to the comments received, while language preference data is collected in connection with some assisted programs, making language preference data collection an assisted program requirement across the board would involve a mandatory requirement under a review process beyond the Agency. However, we do note that effective recipient LEP plans often incorporate a system for tracking and monitoring the number of LEP persons served, language preferences, translations provided, and other data points. But not mandating data collection for all programs does not mean that such data cannot be required as necessary. Federal regulations, such as 25 CFR 42.406, make clear that data collection requests made during the course of compliance reviews can be broad and provide “for the collection of data from and information from applicants and recipients of federal assistance sufficient to permit effective enforcement of title VI.”

10. “Summarization” as Appropriate Mode of Interpretation

We received one comment on the use of “summarization” as an appropriate mode of interpretation. The commenter expressed concern for the competence of interpreters and their ability to summarize when performing interpretations. The commenter indicated that interpreters should refrain from summarizing because it allowed for the interpreter to decide or evaluate on what is and what is not relevant. After careful consideration of the comment received, no change will be made. However, we recognize that summarization may not always be the ideal mode of interpretation when complete and accurate renditions of the communication are necessary. In keeping with the DOJ LEP Guidance, we place summarization within the context of assessing the competency of an interpreter. The DOJ guidance states that recipients should ensure that interpreters “demonstrate[s] proficiency in simultaneous, summarization, or sight translation.” In situations where complete and accurate interpretation is necessary, a competent interpreter will assist the recipient in selecting the most appropriate mode of interpreting that will yield the most accurate information.

11. Definition of LEP

We received three comments recommending that we provide a clearer definition of LEP in the Guidance because the language contained in the “background” section of the Guidance often people have a limited ability to read, write, speak, or understand English, they are” limited English proficient or LEP. The commenters noted that this language appears to contradict the definition of LEP in Section III, which states “Persons who do not speak English as their primary language and who have a limited ability to read, write, speak, or understand English can be limited English proficient or LEP (Who Is a Limited English Proficient Person?).” In order to have consistent and valid language throughout both sections, the language in Section III, which defines LEP, has been revised to delete “can be” and inserted with “are” limited English proficient, or LEP.

12. Require Meaningful Notice of Rights to Language Services

We received three comments recommending that USDA and sub-agency websites strengthen the Guidance’s information accuracy in both English and in the other languages and identify and employ the appropriate mode of interpretation when necessary, a competent interpreter will assist the recipient in selecting the most appropriate mode of interpreting that will yield the most accurate information.

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13. Include Existing LEP Regulations in Legal Authority

We received one comment recommending that the Guidance include existing regulations that establish mandatory legal requirements. In response to this comment, no change was made as the Guidance includes reference to existing regulations, USDA makes its programs and subprograms aware of their obligations and requirements to comply with Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d, Title VI regulations, and program-specific regulations as noted in the Guidance in the Background on page 9 and in the Legal Authority on pages 11-15c.

14. Require Adequate Signs Regarding Critical LEP Services

We received one comment, which notes that the language in the guidance is inconsistent regarding posting notices in places that LEP individuals commonly encounter. According to the commenter, the current language should be made consistent with 7 CFR 272.6(d) and 7 CFR 272.4(b), which require adequate signs in the offices with respect to information critical to LEP services.

No change was made to the guidance in reference to this comment. Both 7 CFR 272.6(d) and 7 CFR 272.4(b) regulations refer to requirements set forth for participating agencies in the Food and Nutrition Service Agency’s programs, such as the Supplemental Nutrition Assistance Program (SNAP). Specifically, 7 CFR 272.6, paragraph 6 “Public Notification” requires State agencies to ensure that all offices involved in administering the SNAP program must publicly display the nondiscrimination poster, 7 CFR 272.4, paragraph 6 “Bilingual Requirements” requires State agencies to provide bilingual program information, certification materials, and staff or interpreter to households that speak the same non-English language and that do not have an adult(s) fluent in English as a second language. Both of these issues are adequately addressed in the Guidance. The Guidance specifically recommends that recipients (which, in this case, would be State agencies) ensure that adequate signage is posted in the office and all information for the public be translated. The Guidance further defines the importance of these issues as stated in the following language contained in Section VI, Elements of an Effective Language Assistance Plan for LEP Persons:

Providing Notice to LEP Persons

Once a recipient has decided, based on the four factors that it will provide language services, it is important to let LEP persons know that these services are available and that they are free of charge. Recipients should provide this notice in a language that LEP persons will understand. Examples of notification that recipients should consider include posting signs in intake areas and other entry points and noting the availability of language assistance services on recipient web sites. When language assistance is needed to ensure meaningful access to information and services, it is important to provide notice in appropriate languages in intake areas or initial points of contact (including web sites) so that LEP persons can learn how to access those language services. This is particularly true in areas with high volumes of LEP persons seeking access to important programs, activities, services, or benefits provided by USDA recipients. For instance, signs in intake offices could state that free language assistance is available. The signs should be translated into the most common languages encountered and should explain how to get the language help. 1

15. Outreach to LEP Persons

We received two comments recommending that in addition to developing procedures to serve LEP individuals, it is equally important that LEP community members be made aware of the policies that are in place to serve the LEP population through radio programs, ethnic media, and other news outlets.

LEP individuals and the importance of finding effective methods of disseminating this information and we believe this has been adequately addressed in the Guidance. The Guidance notes that an effective language access plan includes information about notifying LEP individuals about the availability of language assistance services. This can include “providing notices on non-English language radio and television stations about the available language assistance services and benefits to LEP persons.” See Section VII, VI, 4.) Therefore, no change was made to the Guidance and USDA agencies are encouraged to work with recipients to ensure that this issue is addressed in recipient language plans.

16. Conduct Roundtable and Follow-up Discussions

We received one comment recommending follow-up roundtable discussions to solicit further recommendations. USDA acknowledges the importance of gathering feedback and following up on recommendations gathered from roundtable discussions. However, no further roundtable discussions are warranted in advance of issuing this final Guidance. Instead, OASCRR will encourage USDA agencies to conduct roundtable discussions with the community as a strategy to inform LEP individuals of the resources available to them, as a means to determine the most critical outreach material to translate, as well as a mechanism to obtain feedback on an LEP plan from the community. This is in keeping with our Guidance’s emphasis on relying on community-based organizations to provide important feedback to ensure LEP individuals have meaningful access.

17. Appoint a Language Access Coordinator

We received one comment recommending that each recipient appoint a person to handle LEP issues as they arise, review the LEP plan annually, work toward a more effective implementation of the policy, organize necessary trainings, etc. We believe that an LEP Coordinator would be useful for recipients in ensuring that all aspects of the LEP Guidance are being carried out. However, the appointment of this position is based on the funding and hiring responsibilities of the recipients and not USDA. USDA is committed to ensuring that all aspects of the Guidance are carried out effectively and efficiently, and will, therefore, recommend to recipients the usefulness of designating a Language Access Coordinator to handle LEP issues and to organize necessary trainings, etc. Nonetheless, the importance of designating a Language Access Coordinator cannot be emphasized enough, and such an appointment will greatly increase the likelihood of effective implementation and maintenance of a language access plan.

18. Broaden Monitoring and Enforcement Activities

We received three comments asking that USDA broaden its monitoring and enforcement activities to ensure that funding recipients implement Title VI of the Civil Rights Act of 1964 and language access obligations. We agree that USDA should closely monitor the performance of recipients and, where appropriate, take enforcement
action against those entities that fail to meet their language assistance obligations. This oversight responsibility is addressed in the LEP Guidance under Section VII, which states that "the requirement to provide meaningful access to LEP persons is enforced and implemented by USDA through its regulations at 7 CFR." In addition, USDA will monitor the effectiveness of recipients’ LEP programs through its compliance reviews. Therefore, no change was made.

**Background**

Most people living in the United States read, write, speak, and understand English. There are many people, however, for whom English is not their primary language. For instance, based on the 2000 Census data, 26.3 percent of all Spanish speakers, 27.2 percent of all Russian speakers, 20.2 percent of all Chinese speakers, and 32.4 percent of all Vietnamese speakers reported that they spoke English "not well" or "not at all" in response to the 2000 Census.2 Language barriers for LEP persons can be a barrier to accessing important benefits or services, understanding and exercising important rights, complying with applicable responsibilities, or understanding other information provided by Federally funded programs and activities. The Federal Government funds an array of services that are available to otherwise eligible LEP persons. The Federal Government is committed to improving the accessibility of these programs and activities to eligible LEP persons, a goal that reinforces its equal protection commitment to promoting programs and activities designed to help people learn English. Recipients should not overlook the long-term positive impacts of incorporating or offering English as a Second Language (ESL) programs in parallel with language assistance services. ESL courses can serve as an important adjunct to a proper LEP plan. The fact that ESL classes are made available, however, does not obviate the statutory and regulatory requirements to provide meaningful access for those who are not yet English proficient. Recipients of Federal financial assistance have an obligation to reduce language barriers that can preclude meaningful access by LEP persons to important government services.4

In certain circumstances, failure to ensure that LEP persons can effectively participate in or benefit from Federally assisted programs and activities may violate the prohibition under Title VI of the Civil Rights Act of 1964, 42 U.S.C. 2000d, and the USDA Title VI regulations against national origin discrimination, 7 CFR part 15. The purpose of this policy guidance is to assist recipients in fulfilling their responsibilities to provide meaningful access to LEP persons under existing law. This policy guidance clarifies existing legal requirements by providing a description of the factors recipients should consider in fulfilling their responsibilities to LEP persons. These are the same criteria USDA has been using and will continue to use in evaluating whether recipients are in compliance with Title VI and Title VI regulations. USDA plans to continue to provide assistance and guidance in this important area. In particular, the Small Business Administration and the Department of Agriculture, both of which have small business and agricultural programs, will provide guidance to small businesses, local governments, and small nonprofit organizations. An interagency working group on LEP has developed a Web site, http://www .lepgov, to assist in disseminating this information to recipients, other Federal agencies, and the communities being served.

Some have interpreted the case of Alexander v. Sandoval, 532 U.S. 275 (2001), as implicitly striking down the constructive methods to reduce the costs of LEP requirements on small businesses, local governments, and small nonprofits that receive Federal financial assistance.

There are many productive steps the Federal Government, either collectively or as individual agencies, can take to help recipients reduce the costs of language services without sacrificing meaningful access for LEP persons. Without these steps, certain smaller potential recipients may well choose not to participate in Federally assisted programs, threatening the critical functions that the programs strive to provide. Rather, USDA plans to continue to provide assistance and guidance in this important area, including potential and actual recipients, other Federal agencies, and LEP persons to identify and share model plans, as well as examples of best practices, and cost-saving approaches.

Moreover, USDA intends to explore how language assistance measures, resources, and cost-containment approaches developed with respect to its own Federally-conducted programs and activities can be effectively shared or otherwise made available to recipients, particularly small businesses, local governments, and small nonprofit organizations. An interagency working group on LEP has developed a Web site, http://www .lepgov, to assist in disseminating this information to recipients, other Federal agencies, and the communities being served.
Regulation at issue, 28 CFR 42.104(b)(2), prohibited recipients of federal funds from utilizing criteria which had a discriminatory effect. The plaintiffs, who were non-English speakers, challenged a State policy of administering driver’s license examinations exclusively in English on the grounds that the policy had a disparate impact on non-English speakers and, consequently, violated 28 CFR 42.104(b)(2). The Court concluded that the regulation was not enforceable through agency litigation, and thus, held that the disparate-impact regulation at issue, promulgated under Title VI, did not give rise to private rights of action. See Sandoval, 532 U.S. at 293. The Court, however, did not undermine the substance of other regulatory requirements under which we will continue to follow the Court’s approach. Accordingly, we will strive to ensure that federally-assisted agencies and activities work in a way that is effective for all eligible beneficiaries, including those with limited English proficiency.

1. Legal Authority

Section 601 of Title VI of the Civil Rights Act of 1964, 42 U.S.C. Section 2000d, states that no person in the United States shall be denied equal access to any program or activity receiving Federal financial assistance.

Section 602 authorizes and directs Federal agencies that are empowered to extend Federal financial assistance to any program or activity “to effectuate the provisions of [section 601] by issuing rules, regulations, or orders of general applicability.” 42 U.S.C. 2000d-1.

In addition to Title VI, some USDA recipients must implement a statutory provision of the Food Stamp Act of 1977, 7 U.S.C. 2031, which requires them to use appropriate bilingual personnel and printed materials in the administration of SNAP, formerly the Food Stamp Program, in areas where a substantial number of households speak a language other than English. The Food Stamp Act also requires recipients to establish programs governing the operation of SNAP offices that best serve households in each State, including households in areas where a substantial number of potentially eligible households speak a language other than English. USDA regulations prohibit discrimination in all of federally assisted and conducted programs.

Recipients may not, on the grounds of race, color, or national origin, deny an individual any service, financial aid or other benefit provided under the program, deny an opportunity to participate in the program through the provisions of [section 601], or subject or restrict an individual to segregation or separate treatment in any matter related to the program’s service, financial aid, or other benefit under the program. See 7 CFR 15.3(b)(1)–(2) for additional information.

In addition, USDA regulations implementing the Food Stamp Act of 1977 require that the State agency shall provide bilingual program information and certification materials, and staff or interpreters. See 7 CFR 15.3(b)(6)(ii)–(vi) for additional information.

In Lau v. Nichols, 414 U.S. 563 (1974), the Supreme Court concluded that Title VI and its implementing regulations required a federally funded school district to ensure that LEP students were provided with meaningful access to the district’s educational programs. That case involved a group of approximately 1,000 public school students of Chinese origin who did not speak English, and to whom the school system provided the same services—an education solely in English—that it provided to students who spoke English. The Court held that failing to provide LEP Chinese-speaking students meaningful access to educational programs, the school’s practices violated Title VI’s prohibition against national origin discrimination.

On August 11, 2000, Executive Order 13166, “Improving Access to Services for Persons with Limited English Proficiency,” was issued; 65 FR 50121 (August 15, 2000). Under that Order, every Federal agency that provides financial assistance to non-Federal entities must publish guidance on how their recipients can provide meaningful access to LEP persons and thus comply with Title VI regulations forbidding funding recipients from “restrict[ing] an individual in any way in the enjoyment of any advantage or privilege enjoyed by others receiving any service, financial aid, or other benefit under the program” or from “utiliz[ing] criteria or methods of administration which have the effect of subjecting or8

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8*The memorandum noted that some commentators have interpreted Sandoval as implicitly striking down the disparate impact regulations promulgated under Title VI that form the basis for the part of Executive Order 13166 that applies to Federally assisted programs and activities. See, e.g., Sandoval, 532 U.S. at 298, 298 n.4 ("[W]e assume for purposes of this decision that section 602 confers the authority to promulgate disparate-impact regulations. . . . We cannot help observing, however, how strange it is to say that disparate-impact regulations are inspired by, or drawn from, a provision of Title VI that has been judicially interpreted with Sec. 601, when Sec. 601 permits the very behavior that the regulations forbid."). The memorandum, however, made clear that DOJ disagreed with the commentators’ interpretation. Sandoval holds principally that there is no private right of action to enforce Title VI disparate impact regulations. It does not address the validity of these regulations or Executive Order 13166 or otherwise limit the authority and responsibility of Federal agencies to enforce their own implementing regulations.

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On that same day, DOE issued a general guidance document addressed to “Executive Agency Civil Rights Officers” setting forth general principles for agencies to apply in developing guidance documents for their recipients pursuant to the Executive Order titled “Enforcement of Title VI of the Civil Rights Act of 1964—National Origin Discrimination Against Persons with Limited English Proficiency,” 65 FR 50123 (August 16, 2000), (DOE LEP Guidance).

Subsequently, Federal agencies raised questions regarding the requirements of the Executive Order, especially in light of the Supreme Court’s decision in Alexander v. Sandoval, 532 U.S. 275 (2001). On October 25, 2001, Ralph F. Boyd, Jr., Assistant Attorney General for the Civil Rights Division issued a memorandum for Heads of Departments and Agencies, General Counsels and Civil Rights Directors. This memorandum clarified and confirmed the DOE LEP Guidance in light of Sandoval. The Assistant Attorney General stated that because Sandoval did not invalidate any Title VI regulations that prescribe conduct that has a disparate impact on covered groups—the types of regulations that form the legal basis for the part of Executive Order 13166 that applies to federally assisted programs and activities—the Executive Order remains in force.

This guidance clarifies the responsibilities of recipients and will assist in fulfilling their responsibilities to LEP persons under Title VI of the Civil Rights Act of 1964, as amended, and Title VI regulations. It is consistent with Executive Order 13166, and DOE LEP guidance. To avoid discrimination against LEP persons on the grounds of national origin, USDA recipients should take reasonable steps to ensure that such persons receive the language assistance necessary to afford them meaningful access to recipient programs or activities, free of charge.
II. Who is covered?

USDA regulations require all recipients of Federal financial assistance from USDA to provide meaningful access to LEP persons. Federal financial assistance includes grants, low-interest loans, technical assistance, and cooperative agreements. Covered entities include, but are not limited to:

- State and county agencies, offices, and their subrecipients;
- Private vendors, agents, contractors, associations, and corporations;
- Colleges, universities, and elementary and secondary schools;
- County, district, and regional committees/councils;
- Nursing homes, summer camps, food banks, and housing authorities;
- Research and promotion boards; and
- Other entities receiving, directly or indirectly, Federal financial assistance provided by USDA.

Subrecipients likewise are covered when Federal funds are passed through from a recipient to a subrecipient. Coverage extends to a recipient’s entire program or activity, i.e., to all parts of a recipient’s operations. This is true even if only one part of the recipient receives the Federal financial assistance. For example, USDA provides assistance to a University’s outreach department to provide business development services to local farmers and ranchers. In such a case, all operations of the University, not just those of the University’s outreach department are covered.

Some recipients operate in jurisdictions in which English has been declared the official language. These recipients continue to be subject to Federal nondiscrimination requirements, including those applicable to the provision of Federally assisted services and benefits to persons with limited English proficiency.  

III. Who is a limited English proficient person?

Persons who do not speak English as their primary language and who have a limited ability to read, write, speak, or understand English are limited English proficient or “LEP” and entitled to language assistance with respect to a particular type of benefit, service, or encounter. Examples of populations likely to include LEP persons who are encountered and/or served by USDA recipients and should be considered when planning language services include, but are not limited to, for example:

- Persons seeking access to or needing assistance to obtain food stamps or other food assistance from a recipient;
- Persons seeking information, seeking to enforce rights, or seeking benefits or services from recipient State and County agencies, offices, and their subdivisions;
- Persons encountering recipient private vendors, agents, contractors, associations, and corporations;
- Students, community members, and others encountering recipient extension programs, colleges, universities, and elementary and secondary schools;
- Persons seeking to participate in public meetings or otherwise participate in the activities of county, district, and regional committees/councils;
- Persons seeking access to, or services or information from nursing homes, summer camps, food banks, and housing authorities;
- Persons subject to the work of research and promotion boards;
- Persons encountering other entities or persons who receive, directly or indirectly, Federal financial assistance provided by USDA; and
- Parents and family members of the above.

IV. How does a recipient determine the extent of its obligation to provide LEP services?

In order to ensure compliance with Title VI and Title VII regulations, recipients are required to take reasonable steps to ensure that LEP persons have meaningful access to their programs and activities. While designed to be a flexible and fact-dependent standard, the starting point is an individualized assessment that balances the following four factors:

1. The number or proportion of LEP persons eligible to be served or likely to be encountered within the area serviced by the recipient;
2. The frequency with which LEP persons come in contact with the program or activity;
3. The nature and importance of the program, activity, or service to people’s lives;
4. The resources available to the recipient and costs.

As indicated above, the intent of this Guidance is to suggest a balance that ensures meaningful access by LEP persons to critical services while avoiding undue burdens on small business, small local governments, or small nonprofits.

After applying the above four-factor analysis, a recipient may conclude that different language assistance measures are sufficient for the different types of programs or activities in which it engages. For instance, some of a recipient’s activities will be more relevant to the public than others and/or have greater impact on or contact with LEP persons, and thus may require more in the way of language assistance. However, the flexibility that recipients have to address the needs of the LEP populations they serve does not diminish and should not be used to minimize their obligation to address those needs. USDA recipients should apply the four factors to the various kinds of contacts that they have with the public to assess language needs and decide what reasonable steps they should take to ensure meaningful access for LEP persons.

1. The number or proportion of LEP persons served or encountered in the eligible service population.

One factor in determining what language services recipients should provide is the number or proportion of LEP persons from a particular language group served or encountered in the eligible service population. The greater the number or proportion of LEP persons within the eligible service population, the more likely language services are needed.

Ordinarily, persons “eligible to be served or likely to be directly affected” by a recipient’s program or activity are those who are served or encountered in the eligible service population. The eligible service population is a program/activity-specific, and includes persons who are in the recipient’s geographic service area as established by USDA, State or local authorities, or the recipient, as appropriate, provided that those designations do not themselves discriminatorily exclude certain populations. For instance, if a statewide...
agencies, school systems, religious organizations, legal aid societies, and others can often assist in identifying populations for whom outreach is needed and who would benefit from the recipients' programs and activities, even when language services are not provided. This step is essential in determining the frequency and extent of language requirements for assistance provided.

(2) The Frequency With Which LEP Persons Come Into Contact With the Program or Activity

Receivers should assess, as accurately as possible, the frequency with which they have or should have contact with an LEP person from different language groups seeking assistance. The more frequent the contact with a particular language group, the more likely that enhanced language services in that language are needed. The steps that are reasonable for a recipient that serves an LEP person on a one-time basis will be very different than those expected from a recipient that serves LEP persons daily. It is also important to consider the frequency of different types of language contacts. For example, frequent contact with Spanish-speaking people who are LEP may require certain assistance in Spanish. Less frequent contact with different language groups may suggest a different and less intensified solution. If an LEP person accesses a program or service on a daily basis, recipients have greater duties than if the same person's program or activity contact is unpredictable or intermittent. Even recipients that serve LEP persons on an unpredictable or infrequent basis should use this balancing analysis to determine what to do if an LEP person seeks services under the program in question. This plan needs not be intricate; it may be as simple as being prepared to use one of the commercially available telephone interpretation services to obtain immediate interpreter services. In applying this standard, recipients should take care to consider whether appropriate outreach to LEP persons could increase the frequency of contact with LEP language groups.

(3) The Nature and Importance of the Program or Activity Service by the Program

The more important the information, service, or benefit provided in a program or activity, or the greater the possible consequences of the contact to LEP persons, the more likely language services are needed. For instance, in determining importance, the obligation to communicate information on the availability of emergency food assistance in a designated disaster area may differ significantly from the obligation to communicate information on the availability of disaster clean-up assistance in a community recreation center. A recipient needs to determine whether denial of access to services, benefits, or information could have a significant or life-threatening impact on an LEP person. For example, the failure to translate consent forms and applications for important benefits or services could have serious or life-threatening implications for LEP persons. In such cases, a recipient may also determine the appropriate level of language services to provide. Further, companies that provide language services to recipients also need to consider the importance of language services to recipients. In this case, the recipient may need to provide more language services to ensure that LEP persons can access the services on a daily basis.

(4) The Resources Available to the Recipient and Costs

A recipient's level of resources and the costs that would be imposed on it may have an impact on the nature of the steps it should take. Smaller recipients with more limited budgets are not expected to provide the same level of language services as those with larger budgets. In addition, "reasonable" steps may be more reasonable in terms of the costs imposed substantially exceed the benefits. Resource and cost issues, however, can often be reduced by technological advances in language services and the availability of language assistance services and programs among and between recipients, advocacy groups, and Federal agencies and other business practices. Where appropriate, training bilingual staff to act as interpreters and translators, information sharing through industry groups, telephonic and video conferencing interpretation services, and pooling of resources to reduce translation needs, using qualified translators and interpreters to ensure that documents do not cause delay or other costs, centralizing interpretation and translation services to achieve economies of scale, or the
formalized use of qualified community volunteers, for example, may help reduce costs. Recipients should carefully explore the most cost-effective means of delivering competent and accurate language services before limiting services due to resource concerns. Large entities and those entities serving a significant number or proportion of LEP persons should ensure that their resource limitations are well-substantiated before using this factor as a reason to limit language assistance. Such recipients may find it useful to be able to articulate, through documentation or in some other reasonable manner, their process for determining that language services would be limited based on resources or costs. This is not to suggest that smaller entities are immune from the requirement to provide meaningful access. Any recipient of federal financial assistance must be sure that any claim of resource limitations is well substantiated.

The four-factor analysis necessarily implicates the “mix” of LEP services required. Recipients have two main ways to provide language services: Oral interpretation with an in-person or via telephone interpretation service (hereinafter “interpretation”) and written translation (hereinafter “translation”). Oral interpretation can range from on-site interpreters for critical services provided to a high volume of LEP persons to access through commercially available telephonic interpretation services. Written translation, likewise, can range from translation of an entire document to translation of a short description of the document. In some cases, language services should be made available on an expedited basis while in others the LEP person may be referred to another office of the recipient for language assistance.

The correct mix should be based on what is both necessary and reasonable in light of the four-factor analysis. For instance, a social service recipient having a service area with a significant Hispanic LEP population may need immediate oral interpreters available and should give serious consideration to hiring some bilingual staff. Of course, many social services have already made such arrangements. In contrast, there may be circumstances where the importance and nature of the activity and number or proportion and frequency of contact with LEP persons may be low and the costs and resources needed to provide language services may be high—such as in the case of a voluntary general public tour of a recreational facility in which pre-arranged language services for the particular service may not be necessary. All recipients must provide meaningful access to all their programs. However, the four-factor analysis recognizes that there may be gradations of impact concerning certain activities that will lessen the burden on a recipient in certain unique situations. Regardless of the type of language services provided, quality and accuracy of those services can be critical in order to avoid serious consequences to LEP persons and to recipients. Recipients have substantial flexibility in determining the appropriate mix.

V. Selecting Language Assistance Services

Recipients have two main ways to provide language assistance to LEP persons—oral interpretation and written translations. Quality and accuracy of the language service is critical in order to avoid serious consequences to LEP persons and to recipients.

A. Oral Language Services (Interpretation)

Interpretation is the act of listening to something in one language (source language) and orally translating it into another language (target language). Where interpretation is needed and is reasonable, recipients should consider some or all of the following options for providing competent interpreters in a timely manner.

Competency of Interpreters. When providing oral assistance, recipients should ensure competency of the language service provider, no matter which of the strategies outlined below are used. Competency requires more than self-identification as bilingual. Some bilingual staff and community volunteers, for instance, may be able to communicate effectively in a different language when communicating information directly in that language, but not be competent to interpret in and out of English. Likewise, they may not be able to do written translations.

Competency to interpret, however, does not necessarily mean formal certification as an interpreter, although certification is helpful. When using interpreters, recipients should ensure that they:

- Demonstrate proficiency in and ability to communicate information accurately in both English and in the other language and identify and employ the appropriate mode of interpreting (e.g., consecutive, simultaneous, summarization, or sight translation);
- Have knowledge in both languages of any specialized terms or concepts peculiar to the recipient’s program or activity and of any particular vocabulary and phrases used by the LEP person who is being assisted; and
- Understand and follow confidentiality and impartiality rules to the same extent as the recipient for whom he or she is interpreting; and
- Understand and adhere to their role as interpreters, without deviating into a role as counselor, advisor, or other inappropriate roles.

Some recipients may have additional self-imposed requirements for interpreters.

Where individual rights depend on precise, complete, and accurate interpretation or translations, particularly where ambiguous, incomplete, or inaccurate information may result in the denial or reduction of services or benefits, the use of certified interpreters is strongly encouraged. Where such proceedings are lengthy, the Interpreter will likely need breaks and team interpreting may be appropriate to ensure accuracy and to prevent errors caused by mental fatigue of interpreters.

While quality and accuracy of language services is critical, the quality and accuracy of language services is nonetheless part of the appropriate mix of LEP services required. The quality and accuracy of language services in a hearing regarding the reduction of benefits, for example, must be extraordinarily high, while the quality and accuracy of language services in a voluntary recreational program may not need to meet the same exacting standards.

Finally, when interpretation is needed, it should be provided in a timely manner. While there is no single definition for “timely” applicable to all types of interactions at all times by all types of recipients, one clear guide is that the language assistance should be

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1 Many languages have “regionalisms,” or differences in usage. For instance a word that may be understood to mean something in Spanish for someone from Cuba may not be understood by someone from Mexico. In addition, because there may be language that does not have an appropriate direct interpretation of some programmatic issue, the interpreter is helpful. When using interpreters, recipients should ensure that they:

- Demonstrate proficiency in and ability to communicate information accurately in both English and in the other language and identify and employ the appropriate mode of interpreting (e.g., consecutive, simultaneous, summarization, or sight translation);
- Have knowledge in both languages of any specialized terms or concepts peculiar to the recipient’s program or activity and of any particular vocabulary and phrases used by the LEP person who is being assisted; and
- Understand and follow confidentiality and impartiality rules to the same extent as the recipient for whom he or she is interpreting; and
- Understand and adhere to their role as interpreters, without deviating into a role as counselor, advisor, or other inappropriate roles.

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Finally, when interpretation is needed, it should be provided in a timely manner. While there is no single definition for “timely” applicable to all types of interactions at all times by all types of recipients, one clear guide is that the language assistance should be
provided at a time and place that avoids
the effective denial of the service or
benefit at issue or the imposition of an
undue burden on or delay in the timely
provision of important information
rights, benefits, or services to the LEP
person. For example, when the
timelines of information, benefits, or
services is important, such as with
certain activities related to various types
of emergency assistance by way of
nutritio or housing services, or
emergency loans, grants, etc., a recipient
would likely not provide meaningful
access if it had one bilingual staffer available one day a week to
provide language assistance. Such
conduct would likely result in delays for
LEP persons that would be significantly greater than those for
English proficient persons. Conversely,
where access to information, service, or
benefit is not effectively precluded by a measurable delay, language assistance
can likely be delayed for a reasonable
period.

Hiring Bilingual Staff. When particular languages are encountered
often, hiring bilingual staff offers one of the best, and often most economical,
means. Recipients can, for example, fill
public contact positions, such as
receptionists, secretaries, program
staff, and/or project staff, with
staff who are bilingual and competent to
communicate directly with LEP persons
in their language. If bilingual staff are also used to interpret between English
speakers and LEP persons, or to orally
interpret written documents from
English into another language, they
should be competent in the skill of
interpreting. Being bilingual does not
meanfully mean that a person has the
ability to interpret. In addition, there
may be times when the role of the
bilingual employee may conflict with the
role of an interpreter (for instance,
a bilingual program specialist would
probably not be able to perform
effectively the role of an interpreter in
a benefits hearing and also carry out his
or her duties to administer requirements
of the program or activity at the same
time). In these situations, a qualified interpreter, or other
qualified interpreter, may be appropriate.

Effective management strategies, including any
appropriate adjustments in assignments and
protocols for using bilingual staff, can
caracterize that bilingual staff are fully
and appropriately utilized. When
bilingual staff cannot meet all of the
language service obligations of the
recipient, the recipient should turn to
other options.

Hiring Staff Interpreters. Hiring
interpreters may be most helpful where
the staff difficulties are more common or in areas where
there is a frequent need for interpreting
services in one or more languages.

Depending on the facts, sometimes it
may be necessary and reasonable to
provide on-site interpreters to provide
accurate and meaningful
communication with an LEP person.

Contracting for Interpreters. Contract
interpreters may be a cost-effective
option when there is no regular need for
a particular language skill. In addition
to commercial and other private
providers, many community-based
organizations and mutual assistance
associations provide interpretation
services for those language groups.

Using Telephone Interpreter Lines. Telephone interpreter service lines
often offer speedy interpreting assistance in
many different languages. They may
be particularly appropriate where the
mode of communicating with an English
proficient person would also be over the
phone. Although telephonic
interpretation services are useful in
many situations, it is important to
ensure that, when using such services,
the interpreters used are competent to
interpret any technical or legal terms
specific to a particular program or
activity that may be important parts of
the conversation. Nuances in language
and non-verbal communication can
often assist an interpreter and cannot
be recognized over the phone. Video
teleconferencing may sometimes help to
resolve this issue when necessary. In
addition, when documents are being
discussed, it is important to give
telephonic interpreters adequate
opportunity to review the documents
prior to the discussion and any
logistical problems should be addressed.

Using Community Volunteers. In
addition to consideration of bilingual
staff, staff interpreters, or contract
interpreters (whether in-person or by
telephone) as options to ensure
meaningful access by LEP persons, use
of recipient-coordinated community
volunteers working with, for instance,
community-based organizations may
provide a cost-effective supplemental
language assistance strategy under
appropriate circumstances. They may
be particularly useful in providing
language access for a recipient’s less
critical programs and activities. To the
extent the recipient relies on
community volunteers, it is often best to
use volunteers who are trained in the
information, services, or benefits of
the program or activity and can
communicate directly with LEP persons
in their language. Just as with all
interpreters, community volunteers
used to interpret between English
speakers and LEP persons, or to orally
translate documents, should be
competent in the skill of interpreting
and knowledgeable about applicable
compliance and confidentiality rules.

Recipients should consider formal
arrangements with community-based
organizations that provide volunteers
to address these concerns and to help
ensure that services are readily
available.

Use of Family Members, Friends, or
Others as Interpreters. Although
recipients should not plan to rely on an
ELP person’s family member, friend, or other
informal interpreters to provide
meaningful access to important
programs and activities, where LEP
persons so desire, they should be
permitted to use, at their own expense,
interpreters of their own choosing
(whether a professional interpreter,
family member, friend, or other person
of their choosing) in place of a
supplement to the free language services
expressly offered by the recipient.
LEP persons may feel more comfortable
when a trusted family member, friend,
or other person of their choosing acts as an
interpreter. In addition, in exigent circumstances that
are not reasonably foreseeable,
specific to a particular program or
activity, provided by the recipient may
be necessary. However, with proper
planning and implementation,
recipients should be able to avoid most
such situations.

Interpreters, community volunteers used to interpret between English
speakers and LEP persons, or to orally
translate documents, should be
competent in the skill of interpreting
and knowledgeable about applicable
compliance and confidentiality rules.

Recipients should consider formal
arrangements with community-based
organizations that provide volunteers
to address these concerns and to help
ensure that services are readily
available.
For USDA recipient programs and activities, this is particularly true in an administrative hearing or in situations in which health, safety, or access to sustenance or important benefits and services are at stake, or when credibility and accuracy of recordkeeping is critical. It is essential that the recipient provide an LEP person’s rights or access to important benefits and services. An example of such a case is when an LEP recipient applies for food stamps or a low-interest farm loan. The recipient should not rely on friends or family members of the LEP recipient or other informal interpreters.

While issues of competency, confidentiality, and conflict of interest are more common in the use of family members, friends, or other informal interpreters, often they are untrained in their use. Inappropriate use of interpreters may be an appropriate option where other appropriate methods of communication are not feasible. The four-factors of whether to use an interpreter are: the nature of the activity, the availability of qualified interpreters, the need for confidentiality, and the need for accuracy. In addition, the resources costs and of providing language services may be high. In such a situation, an LEP person’s use of family, friends, or other informal interpreters may be appropriate.

If the LEP person voluntarily chooses to provide his or her own interpreter, a recipient should consider whether a member of the LEP person’s household is also an appropriate interpreter. While the LEP person’s decision should be respected, using children or adults as interpreters may create additional issues of competency, confidentiality, and conflict of interest. Reliance on children is especially discouraged unless there is an extreme emergency and no qualified interpreters are available.

The recipient should ensure that the LEP person’s choice is voluntary. The LEP person is aware of the possible problems if the preferred interpreter is a minor child, and that the LEP person knows that the recipient could provide a competent interpreter at no cost (to the LEP person).

B. Written Language Services (Translation)

Translation is the replacement of a written text from one language (source language) into an equivalent written text in another language (target language).

What Documents Should Be Translated? After applying the four-factors, a recipient may determine that an effective LEP plan for its particular program or activity includes the translation of written materials into the language of each LEP person encountered. The translated LEP person’s written materials shall be meaningful access. Such written materials may include, but are not limited to:

- Applications to participate in a recipient’s program or activity, or to receive recipient benefits or services;
- Consent forms, complaint forms, intake forms, letters containing important information related to participation (such as cover letters outlining conditions of participation in a loan program or committee election);
- Notices advising LEP persons of the availability of free language assistance;
- Written tests that do not assess English proficiency but test competency for a particular license, job, or skill for which knowing English is not required;
- Outreach materials; and
- Any documents that require a response from applicants, beneficiaries, or other participants.

Whether or not a document (or the information it solicits) is “vital” may depend upon the importance of the program activity, information, encounter, service, or benefit involved, and the consequence to the LEP person if the information or activity is not provided accurately or in a timely manner. For instance, applications for voluntary credit management courses are not necessarily vital (so long as they are not a prerequisite to obtaining or maintaining better credit), whereas, applications for rural rental housing would be considered vital. When appropriate, recipients are encouraged to create a plan for consistently determining, over time and across various activities, what documents are "vital" to the meaningful access of the LEP populations they serve. Note, however, that even when a document is not vital, the recipient should still provide meaningful access, which may require sight translation or other language assistance services.

Classifying a document as vital or non-vital is sometimes difficult, especially in the case of outreach materials like brochures or other information on rights and services. Awareness of rights or services is an important and a "meaningful" access. Lack of awareness that a particular program, right, or service exists may make it difficult for LEP persons to benefit. Thus, where a recipient is engaged in community outreach activities in furtherance of its activities, it should regularly assess the needs of the populations frequently encountered or affected by the program or activity to determine whether certain outreach materials should be translated. Community organizations may be helpful in determining what outreach materials may be most helpful to translate. In addition, the recipient should consider whether translations of outreach material may be more effective when done in tandem with other outreach methods, including utilizing the ethnic media, schools, and religious or community organizations to spread a message.

Sometimes a document includes both vital and non-vital information. This may be the case when the document is very large. It may also be the case when the title and a phone number for obtaining more information on the contents of the document in frequently-encountered languages other than English is critical, but the document is also sent out to the general public and cannot reasonably be translated into many languages. Thus, vital information may include, for instance, the provision of information in other languages other than English regarding where a LEP person might obtain an interpretation or more information about the document.

Into What Languages Should Documents Be Translated? The languages spoken by the LEP persons with whom the recipient has contact determine the languages into which vital documents should be translated. A distinction should be made, however, between languages that are frequently encountered by a recipient and those commonly encountered languages. Many recipients serve communities in large cities or across the country. They regularly serve LEP persons who speak dozens and sometimes over 100 different languages. To translate all...
written materials into all of those languages is unrealistic. Although recent technological advances have made it easier for recipients to store and share translated documents, such an undertaking would incur substantial costs and require substantial resources. Nevertheless, well-substantiated claims of lack of resources to translate all vital documents into dozens of languages do not necessarily relieve the recipient of the obligation to translate those documents into at least several of the more frequently encountered languages and to set benchmarks for continued translations into the remaining languages over time. As a result, the extent of the recipient’s obligation to provide written translations of documents should be determined by the recipient on a case-by-case basis, looking at the totality of the circumstances in light of the four-factor analysis. Because translation is a one-time expense, consideration should be given to whether the up-front costs of translating a document (as opposed to oral interpretation) should be amortized over the likely life span of the document when applying this four-factor analysis.

Safe Harbor Provisions: The following provisions will be considered strong evidence of compliance with the recipient’s written-translation obligations:

a. The USDA recipient provides written translations of vital documents for each eligible LEP language group that constitutes 5 percent or 1,000, whichever is less, of the population of persons eligible to be served or likely to be affected or encountered. Translation of other documents if needed, can be provided orally; or

b. If there are fewer than 50 persons in a language group that reaches the 5 percent trigger in (a), the recipient does not translate vital written materials but provides written notice in the primary language of the LEP language group of the right to receive competent oral interpretation of those written materials. Free of cost.

These Safe Harbor Provisions apply to the translation of written documents only. They do not affect the requirement to provide meaningful access to LEP persons through competent oral interpreters where oral language services are needed and are reasonable.

The four-factor analysis must always be used to evaluate the need for, and extent of use of, oral interpreters.

For example, recipients should, where appropriate, ensure that program rules have been explained to LEP program participants prior to taking adverse action against them.

Competence of Translators. As with oral interpreters, translators of written documents should be competent. Many of the same considerations apply. However, the skill of translating is very different from the skill of interpreting, and a person who is a competent interpreter may or may not be competent to translate.

Particularly where legal or other vital documents are being translated, competence can often be achieved by use of certified translators, though certification or accreditation may not always be possible or necessary. Competence can often be ensured by having a second, independent translator “check” the work of the primary translator. Alternatively, one translator can translate the document, and a second, independent translator could translate it back into English to check.

For instance, there may be languages that do not have an appropriate direct translation of some program-specific terms of art or technical concepts and the translator should be able to provide an appropriate translation. The translator also should likely make the recipient aware of this. Recipients can work with translators to develop a consistent and appropriate terminology description of those terms. Recipients will find it more effective and less costly if they try to maintain consistency in the words and phrases used to translate terms of art and technical concepts. Creating or using already created glossaries of commonly used terms may be useful for LEP persons and translators and cost-effective for the recipient. Providing translators with examples of previous translations of similar material by the recipient, other recipients, or Federal agencies may be helpful.

VI. Elements of Effective Plan on Language Assistance for LEP Persons

After completing the four-factor analysis and deciding what language assistance services are appropriate, a recipient should develop an implementation plan to address the identified needs of the LEP populations it serves. Recipients have considerable

For those languages in which no formal accreditation currently exists, a particular level of membership in a professional translation association can provide some indication of proficiency.
flexibility in developing this plan. The development and maintenance of a periodically updated written plan on language assistance for LEP persons ("LEP plan") for use by recipient employees serving the public will likely be the most appropriate and cost-effective means of documenting compliance and providing a framework for the provision of timely and reasonable language assistance. Moreover, such written plans would likely provide additional benefits to a recipient's program managers in terms of training, administration, planning, and budgeting. These benefits should lead most recipient agencies to document in a written LEP plan their language assistance services, and how staff and LEP persons can access those services. Despite these benefits, LEP recipients, such as recipients serving very few LEP persons and recipients with very limited resources, may choose not to develop a written LEP plan. However, the absence of a written LEP plan does not obviate the underlying obligation to ensure meaningful access by LEP persons to a recipient's program or activities. Accordingly, in the event that a recipient elects not to develop a written plan, it should consider alternative ways to articulate in some other reasonable manner a plan for providing meaningful access. Entities having significant contact with LEP persons, such as schools, religious organizations, community groups, and groups working with new immigrants can be very helpful in providing important input into this planning process from the beginning.

The following six steps may be helpful in designing a LEP plan and are typically part of effective implementation plans:

1. Identifying LEP Persons Who Need Language Assistance

The first two factors in the four-factor analysis require an assessment of the number of proportion of LEP persons eligible to be served or encountered and the frequency of encounters. This requires recipients to identify LEP persons with whom they have contact. One way to determine the language of communication is to use language identification cards (or "I speak cards"), which invite LEP persons to identify their language needs to staff. Such cards, for instance, might say "I speak Spanish" in both Spanish and English, "I speak Vietnamese" in both English and Vietnamese, etc. To reduce costs of compliance, the Federal Government has made these cards available on the Internet. The Census Bureau "I speak card" can be found and downloaded at www.justice.gov/crt/about/cor/Pubs/ISpeakCards.pdf. When records are normally kept of past interactions with members of the public, the language of the LEP person can be included as part of the record. In addition to helping employees identify the language of LEP persons they encounter, this process will help in future applications of the first two factors of the four-factor analysis. In addition, posting notices in commonly encountered languages notifying LEP persons of language assistance will encourage them to self-identify.

2. Language Assistance Measures

An effective LEP plan would likely include information about the ways in which language assistance will be provided. For instance, recipients may want to include information on at least the following:

- Types of language services available;
- How staff can obtain these services;
- How to respond to LEP telephone calls;
- How to respond to LEP communications from LEP persons;
- How to respond to LEP persons who have in-person contact with recipient staff;
- How to ensure competency of interpreters and translation services.

3. Training Staff

Staff should know their obligations to provide meaningful access to information and services for LEP persons. An effective LEP plan would likely include training to ensure that:

- Staff know about LEP policies and procedures;
- Staff having contact with the public is trained to work effectively with in-person and telephone interpreters.

Recipients may want to include this training as part of the orientation for new employees. It is important to ensure that all employees in public contact positions are properly trained. Recipients have flexibility in deciding the manner in which the training is provided. The more frequent the contact with LEP persons, the greater the need will be for in-depth training. Staff with little or no contact with LEP persons may only have to be aware of an LEP plan. However, management staff, even if they do not interact regularly with LEP persons, should be fully aware of and understand the plan so they can reinforce its importance and ensure its implementation by staff.

4. Providing Notice to LEP Persons

Once a recipient has decided, based on the four factors, that it will provide language services, it is important to let LEP persons know that those services are available and they are free of charge. Recipients should provide this notice in a language that LEP persons will understand. Examples of notification that recipients should consider include:

- Posting signs in intake areas and other entry points and adequate posting on Web sites. When language assistance is needed to ensure meaningful access to information and services, it is important to provide notice in appropriate languages in intake areas or initial points of contact (including Web sites) so that LEP persons can learn how to access those language services. This is particularly true in areas with high volumes of LEP persons seeking access to important programs, activities, services, or benefits provided by USDA recipients. For instance, signs in intake offices could state that free language assistance is available. The signs should be translated into the most common languages encountered and should explain how to get the language help.

- Stating in outreach documents that language services are available from the recipient. Announcements could be in, for instance, brochures, booklets, and in outreach and recruiting information. These statements should be translated into the most common languages and "tagged" onto the front of common documents.

- Working with community-based organizations and other stakeholders to inform LEP persons of the recipients' services, including the availability of language assistance services.

- Using a telephone voice mail menu. The menu could be in, for instance, in the most common languages encountered. It should provide information about available language assistance services and how to get them:

- Including notices in local newspapers in languages other than English.

- Providing notices on non-English-language radio and television stations about the available language assistance services and how to get them.

- Postcards and/or notices at schools and other organizations.

- Posting notices for language assistance on recipient agency Web sites.

These should be translated into the most commonly encountered languages.
languages and tagged on the agency's home page.

(5) Ensuring Online Automation Services

USDA recipients who provide online communications and services to customers, including but not limited to online applications, forms, and brochures, must include in their LEP plan their strategy for ensuring that LEP individuals have meaningful access to online automation services.

(6) Monitoring and Updating the LEP Plan

Recipients should, where appropriate, have a process for determining, on an ongoing basis, whether new documents, programs, activities, services, and benefits need to be translated or otherwise made accessible for LEP persons, and they may want to provide notice of any changes in services to the LEP public and to employees. In addition, recipients should consider whether changes in demographics, types of services, or other needs require annual reevaluation of their LEP plan. Less frequent reevaluation may be more appropriate where demographics, services, and needs are more static. One good way to evaluate the LEP plan is to seek feedback from the community.

In their reviews, recipients may want to consider assessing changes in:

—Current LEP populations in service area or population affected or encountered;
—Frequency of encounters with LEP language groups;
—Nature and importance of activities to LEP persons;
—Availability of resources, including technological advances and sources of additional resources, and the costs imposed;
—Whether existing assistance is meeting the needs of LEP persons;
—Whether staff know and understand the LEP plan and how to implement it; and
—Whether identified sources for assistance are still available and viable.

In addition to these six elements, effective plans set clear goals, management accountability, and opportunities for community input and planning throughout the process.

VII. Voluntary Compliance Effort

The goal for Title VI and Title VI regulatory enforcement is to achieve voluntary compliance. The requirement to provide meaningful access to LEP persons is enforced and implemented by USDA through its regulations at 7 CFR part 15, Departmental Regulation 4330-2, "Non-discrimination in Programs and Activities Receiving Federal Financial Assistance From USDA," and Departmental Manual 4330-2, "Policies for Processing Discrimination Complaints and Conducting Civil Rights Compliance Reviews in USDA Assisted Programs and Activities." These documents contain USDA requirements and procedures for discrimination complaints processing, complaint investigations, compliance reviews, efforts to secure voluntary compliance, and technical assistance.

USDA will investigate whenever it receives a complaint, report, or other information that alleges or indicates possible noncompliance with Title VI or its regulations. If the investigation results in a finding of compliance, USDA will inform the recipient in writing of the determination, including the basis for the determination. USDA uses voluntary mediation to resolve most complaints. However, if a case is fully investigated and results in a finding of noncompliance, USDA must inform the recipient of the noncompliance through a Letter of Findings that sets out the areas of noncompliance and the steps that must be taken to correct the noncompliance. It must attempt to secure voluntary compliance through informal means, if necessary. If the matter cannot be resolved informally, USDA must seek to enforce compliance either through the termination of Federal assistance or the USDA recipient has been given an opportunity for an administrative hearing and for by referring the matter to DOJ to seek injunctive relief or pursue other enforcement proceedings. USDA engages in voluntary compliance efforts and provides technical assistance to recipients at all stages of an investigation. During those efforts, USDA proposes reasonable deadlines for achieving compliance in consultation with and assists individuals in exploring cost-effective ways of coming into compliance. In determining a recipient's compliance with the Title VI regulations, USDA's primary concern is to ensure that the recipient's policies and procedures provide meaningful access for LEP persons to the recipient's programs and activities.

While all recipients must work toward building systems that will ensure access for LEP persons, USDA acknowledges that the implementation of a comprehensive system to serve LEP persons is a process and that a system will evolve over time as it is implemented and periodically reevaluated. As recipients take reasonable steps to provide meaningful access to Federally-assisted programs and activities for LEP persons, USDA will look favorably on intermediate steps recipients take that are consistent with this guidance, and that, as part of a broader implementation plan or schedule, move their service delivery system toward providing full access to LEP persons. This does not excuse noncompliance but instead recognizes that full compliance in all areas of a recipient's activities and for all potential language minority groups may reasonably require a series of implementing actions over a period of time. However, in developing any phased implementation schedule, USDA recipients should ensure that the provision of appropriate assistance for significant LEP populations or with respect to programs or activities having a significant impact on important benefits, and services, are addressed first. Recipients are encouraged to document their efforts to provide LEP persons with meaningful access to Federally assisted programs and activities.

VIII. Effect on State and Local Laws

Some State and local laws may identify language access obligations/requirements. Recipients may meet these obligations, as long as they do not conflict with or set a lower standard than is required under Title VI and Title VII regulations. Moreover, recipients must also comply as a matter of state law with higher requirements if those requirements exist under state laws. Finally, as noted above, some recipients operate in a jurisdiction in which English has been declared the official language. Nonetheless, these recipients continue to be subject to Federal non-discrimination requirements, including those applicable to the provision of Federally assisted benefits and services to persons with limited English proficiency.

Date: November 17, 2014.

Thomas J. Vilsack, Secretary.
### Business and Cooperative Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Recipient</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rural Economic Development Loans and Grants</td>
<td>Rural Utilities Service- financed electric and telephone utilities.</td>
<td>Business startups or expansion projects that create rural jobs.</td>
</tr>
<tr>
<td>Intermediary Relending Program Loans</td>
<td>Public bodies, non-profit corporations, Native American Tribes and cooperatives</td>
<td>Establish revolving funds for business facilities and community development projects.</td>
</tr>
<tr>
<td>Rural Micro entrepreneur Assistance Program</td>
<td>Microenterprise Development Organizations (MDOs)</td>
<td>Establish revolving funds to target assistance to small rural enterprises.</td>
</tr>
<tr>
<td>Rural Cooperative Development Grants</td>
<td>Non-profits and institutions of higher education</td>
<td>Establish/operate centers for cooperative development.</td>
</tr>
<tr>
<td>Socially Disadvantaged Groups Grants</td>
<td>Cooperatives and associations of cooperatives whose membership and board of directors is comprised of at least 75% socially disadvantaged agricultural producers</td>
<td>Provides funds to eligible cooperatives or an association of cooperatives to provide technical assistance to small, socially disadvantaged producers in rural areas.</td>
</tr>
<tr>
<td>REAP Audit/Development Grants</td>
<td>State, Tribal or local government institutions of higher education; rural electric cooperatives; or public power entities</td>
<td>Provide grant to entity to pass through to a small business or agricultural producer for 75% of the cost of an energy audit or renewable energy development assistance.</td>
</tr>
<tr>
<td>Value Added Produce Grants</td>
<td>Agricultural producers</td>
<td>Assists producers in the development of businesses that produce and market value-added agricultural products. In the VAPG, the actual use of federal funds will be reviewed for applicability of assisted or conducted oversight.</td>
</tr>
</tbody>
</table>

### Housing and Community Facility Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Recipient</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mutual Self-Help Housing Grants</td>
<td>Non-profits and public bodies.</td>
<td>Technical assistance to help small groups of families to build each other’s homes.</td>
</tr>
<tr>
<td>Rural Rental Housing Direct Loans</td>
<td>Individuals, trusts, associations, limited partnerships, for-profit and non-profit entities, Tribes, public bodies</td>
<td>Safe, well-built, affordable rental housing for very-low-income individuals and families.</td>
</tr>
<tr>
<td>Community Facilities Loans and Grants</td>
<td>Public bodies, non-profits, and Federally recognized Indian Tribes.</td>
<td>Construct, enlarge or otherwise improve essential community facilities, such as public safety, fire and rescue, telecommunications, schools, libraries, hospitals, other health care facilities, etc. This may include furnishings, fixtures and other required equipment.</td>
</tr>
<tr>
<td>Housing Preservation Grants</td>
<td>Public bodies and non-profit organizations</td>
<td>Repair and rehabilitate housing owned or occupied by very-low- and low-income rural families.</td>
</tr>
<tr>
<td>Farm Labor Housing Loans and Grants</td>
<td>Individuals, public and private non-profit organizations</td>
<td>Safe, well-built affordable rental housing for farmworkers.</td>
</tr>
<tr>
<td>Rural Community Development Initiative</td>
<td>Private non-profit or public organizations, philanthropic foundations, low-income communities</td>
<td>To facilitate housing, community facility and community and economic development projects.</td>
</tr>
</tbody>
</table>
### Utility Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Recipient</th>
<th>Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water and Waste Disposal Loans</td>
<td>Public entities, Indian Tribes and non-profit corporations</td>
<td>Provide infrastructure for rural areas.</td>
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<tr>
<td>and Grants</td>
<td></td>
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<tr>
<td>Solid Waste Management Grants</td>
<td>Public bodies, private non-profit organizations, Indian Tribes, academic</td>
<td>Provide technical assistance and/or training to those who operate and</td>
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<tr>
<td></td>
<td>institutions</td>
<td>maintain active landfills.</td>
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<tr>
<td>Technical Assistance/</td>
<td>Public, private, and non-profit organizations</td>
<td>Provide technical assistance and training in the management of water and</td>
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<td>Training/Circuit Rider</td>
<td></td>
<td>waste projects.</td>
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<tr>
<td>Rural Broadband Loan</td>
<td>Entities seeking to provide broadband services in rural areas</td>
<td>Deployment of broadband service to eligible rural communities.</td>
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<td><strong>Note:</strong> The 2014 Farm Bill revises program provisions. New rules are</td>
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<td></td>
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<td>expected to be published in FY 2015.</td>
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<tr>
<td>Electric and Telecommunications</td>
<td>Non-profit and cooperative associations, public bodies, and other</td>
<td>Assist rural communities in obtaining affordable, high-quality electric</td>
</tr>
<tr>
<td>Loans</td>
<td>utilities</td>
<td>and telecommunications services.</td>
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<td></td>
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<tr>
<td>Distance Learning and</td>
<td>Incorporated entities, including municipalities, for-profit, and non-</td>
<td>Development and deployment of advanced telecommunication services</td>
</tr>
<tr>
<td>Telemedicine</td>
<td>profit corporations that operate rural schools, libraries, health care</td>
<td>throughout rural America to improve education and health care.</td>
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<td></td>
<td>clinics and other educational or health care facilities</td>
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</tbody>
</table>
APPENDIX C - LANGUAGE ASSISTANCE MONITORING CHECKLIST

Periodic monitoring of language assistance measures that have been implemented can help a recipient determine if assistance is being provided competently and effectively. Recipients can use the following checklist to monitor their services. Actual monitoring should be tailored to the services the recipient is implementing through a program funded by USDA RD. Depending on the language assistance provided, the following questions could be answered by periodic monitoring:

Customer Service

___ Is the customer service telephone line equipped to handle callers speaking languages other than English?

___ Can customer service representatives describe to a caller what language assistance the recipient provides and how to obtain translated information or oral interpretation?

___ Can a person speaking limited English or a language other than English request information from a customer service representative?

___ Can a LEP person effectively engage the first line services from a first line customer service representative?

Community Outreach

___ Are interpreters present at community/public meetings?

___ If not regularly provided, can a member of the public request a language interpreter?

___ Are translated versions of any written materials that are handed out at a meeting provided?

___ Can members of the public provide oral as well as written comments?

Press/Public Relations

___ Are meeting notices, press releases, and public service announcements translated into languages other than English?

___ Does the recipient’s website have a link to translated information on its home page?

___________________________________    _______________
(Signature)        (Date)
APPENDIX D - LANGUAGE ASSISTANCE RESOURCES

1. **Policy and Guidance Documents**

**Executive Order 13166**, Improving Access to Services for Persons with Limited English Proficiency. Executive Order 13166 was signed by President Clinton in 2000. It clarifies Federal agencies’ and their grant recipients’ responsibilities to make their services available to LEP populations. A link to this Executive Order can be found at [www.lep.gov/13166/eo13166.html](http://www.lep.gov/13166/eo13166.html).

2. **Websites**

**Federal Interagency Working Group on Limited English Proficiency**, [www.lep.gov](http://www.lep.gov). LEP.gov promotes a cooperative understanding of the importance of language access to Federal programs and federally assisted programs. The site acts as a clearinghouse, providing and linking to information, tools, and technical assistance regarding limited English proficiency and language services for Federal agencies, recipients of Federal funds, users of Federal programs and federally assisted programs, and other stakeholders.

**The Modern Language Association**, [www.mla.org](http://www.mla.org). The MLA has produced a Language Map intended for use by students, teachers, and anyone interested in learning about the linguistic and cultural composition of the United States. The MLA Language Map uses data from the 2000 Census to display the locations and numbers of speakers of 30 languages and three groups of less commonly spoken languages in the United States. The census data are based on responses to the question, “Does this person speak a language other than English at home?” The Language Map illustrates the concentration of language speakers in zip codes and counties. The MLA’s Data Center provides census data on more than 300 languages spoken in the United States.

**The U.S. Census Bureau**, [www.census.gov](http://www.census.gov). The 2011 Language Mapping Tool and the ACS data provides a wide range of demographic information at multiple geographic levels. The Census Bureau’s regional contacts can be found at [www.census.gov/regions/](http://www.census.gov/regions/).

**Special Tabulation of LEP Information**, [www.doleta.gov/reports/CensusData/](http://www.doleta.gov/reports/CensusData/). The U.S. Department of Labor has sponsored a special tabulation of Census data on Limited English proficient (LEP) populations as a resource for One Stop Career Centers and other providers of employment and training services. Information is broken out for 39 Census languages and language clusters, and the tabulations are designed to match the service areas of states and local Workforce Investment Areas.
3. **Presentations, Guides, and Teaching Tools**

   “Breaking Down the Language Barrier: Translating Limited English Proficiency into Practice.” This video, which is available on DVD and as a streaming video link on [www.lep.gov](http://www.lep.gov) explains the language access requirements of Title VI and Executive Order 13166 through vignettes that expose the problems resulting from the absence of language assistance. The video goes on to show how these same situations could have been handled more appropriately if the service provider took reasonable steps to provide meaningful access.

**Language Assistance Self-Assessment and Planning Tool for Recipients of Federal Financial Assistance, created by the U.S. Department of Justice.** This document is intended to assist organizations that receive Federal financial assistance in their strategic planning efforts to ensure that program goals and objectives address meaningful access for all of the people they serve or encounter, including those who are limited English proficient. This tool assists recipients in assessing their current other-than-English language services capabilities and planning for the provision of language services.